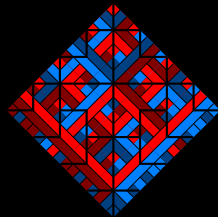


# Intrinsically Universal Cellular Automata

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Nicolas Ollinger (Aix-Marseille Université & CNRS, France)

Complexity of Simple Programs, Cork  
December 7, 2008



# Cellular automata

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**Definition** A **cellular automaton (CA)** is a quadruple  $(d, S, N, f)$  where  $S$  is a **finite set of states**,  $N \subseteq_{\text{finite}} \mathbb{Z}^d$  is the **neighborhood** and  $f : S^N \rightarrow S$  is the **local rule**.

A **configuration**  $c \in S^{\mathbb{Z}^d}$  is a coloring of  $\mathbb{Z}^d$  by  $S$ .



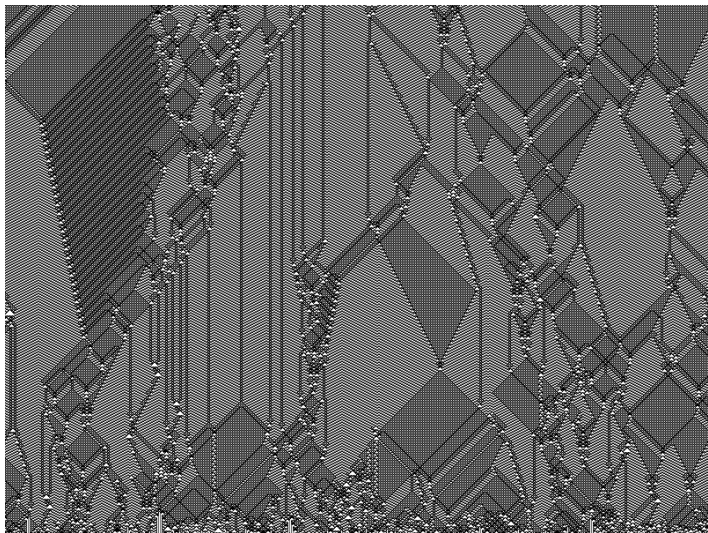
The **global map**  $F : S^{\mathbb{Z}^d} \rightarrow S^{\mathbb{Z}^d}$  applies  $f$  uniformly and locally:

$$\forall c \in S^{\mathbb{Z}^d}, \forall z \in \mathbb{Z}, \quad F(c)(z) = f(c_{z+N}).$$

A **space-time diagram**  $\Delta \in S^{\mathbb{N} \times \mathbb{Z}^d}$  satisfies, for all  $t \in \mathbb{Z}^+$ ,

$$\Delta(t+1) = F(\Delta(t)).$$

# Space-time diagram



time goes up

$$S = \{0, 1, 2\}, r = 1, f(x, y, z) = \lfloor 6430564760289 / 3^{9x+3y+z} \rfloor \pmod{3}$$

# Universality in higher dimensions

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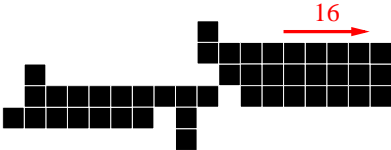
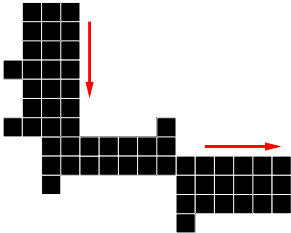
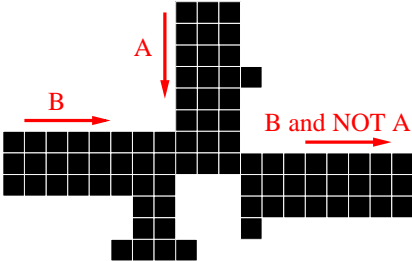
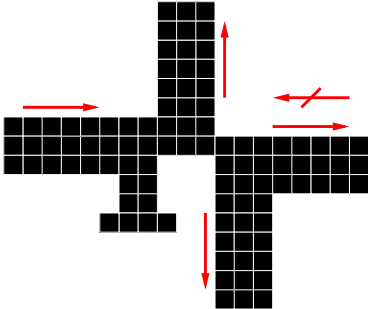
Construction of universal CA appeared with CA as a tool to embed computation into the CA world. First, for **2D CA**

|      |             |   |    |
|------|-------------|---|----|
| 1966 | von Neumann | 5 | 25 |
| 1968 | Codd        | 5 | 8  |
| 1970 | Conway      | 8 | 2  |
| 1970 | Banks       | 5 | 2  |

A natural idea in 2D is to emulate **universal boolean circuits** by embedding ingredients into the CA space: **signals, wires, turns, fan-outs, gates, delays, clocks, etc.**



# Banks' CA: gadgets



# Universality in 1D

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Boolean circuits are **less intuitive** to simulate, but it is easy to simulate **sequential models of computation** like Turing machines.



A. R. Smith III. Simple computation-universal cellular spaces. 1971

|      |                    |    |
|------|--------------------|----|
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A cellular automaton is **Turing-universality** if... What exactly is the **formal definition**? What is a **non universal** CA?

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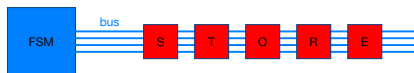
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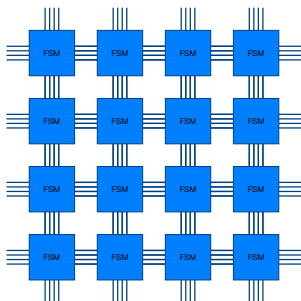
A consensual yet formal definition is unknown and seems difficult to achieve **[Durand & Roka 1999]**.

# Another path to universality

Sequential models of computations are basically FSM + storage.



Boolean circuits can also simulate parallel models of computation.



This leads to a notion of **intrinsic universality** that is used implicitly in the literature [**Banks 1970**] [**Albert & Culik II 1987**].

# 1. Intrinsic Universality

# Bulking classifications

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**Idea** define a **quasi-order** on cellular automata, **equivalence classes** capturing behaviors.

**Grouping** quasi-order [**Mazoyer & Rapaport 1999**] was introduced as a classification to capture simple **algebraic properties** of CA.

**Bulking** quasi-order [**NO PhD 2002**] is an extension of grouping to capture **algorithmic properties** and **intrinsic universality** as a maximal equivalence class.

The study was further developed in [**Theyssier PhD 2005**] where some less strict quasi-order were developed (skipped in this talk).

# The sub-automaton relation

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A CA  $\mathcal{A}$  is **algorithmically simpler** than a CA  $\mathcal{B}$  if all the space-time diagrams of  $\mathcal{A}$  are space-time diagrams of  $\mathcal{B}$ .

Formally,  $\mathcal{A} \subseteq \mathcal{B}$  if there exists  $\varphi : S_{\mathcal{A}} \rightarrow S_{\mathcal{B}}$  injective such that

$$\overline{\varphi} \circ G_{\mathcal{A}} = G_{\mathcal{B}} \circ \overline{\varphi}$$

That is, the following diagram commutes:

$$\begin{array}{ccc} C & \xrightarrow{\varphi} & \overline{\varphi}(C) \\ G_{\mathcal{A}} \downarrow & & \downarrow G_{\mathcal{B}} \\ G_{\mathcal{A}}(C) & \xrightarrow{\varphi} & \overline{\varphi}(G_{\mathcal{A}}(C)) \end{array}$$

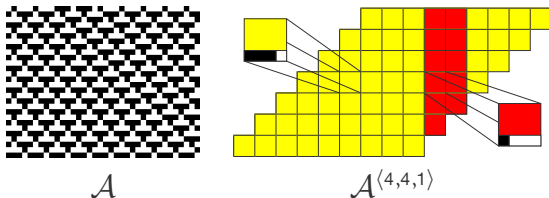
**Remark** Different elementary relations can be considered.

# Bulking

We quotient the set of CA by **discrete affine transformations**, the only geometrical transformations preserving CA.

The  $\langle m, n, k \rangle$  transformation of  $\mathcal{A}$  satisfies:

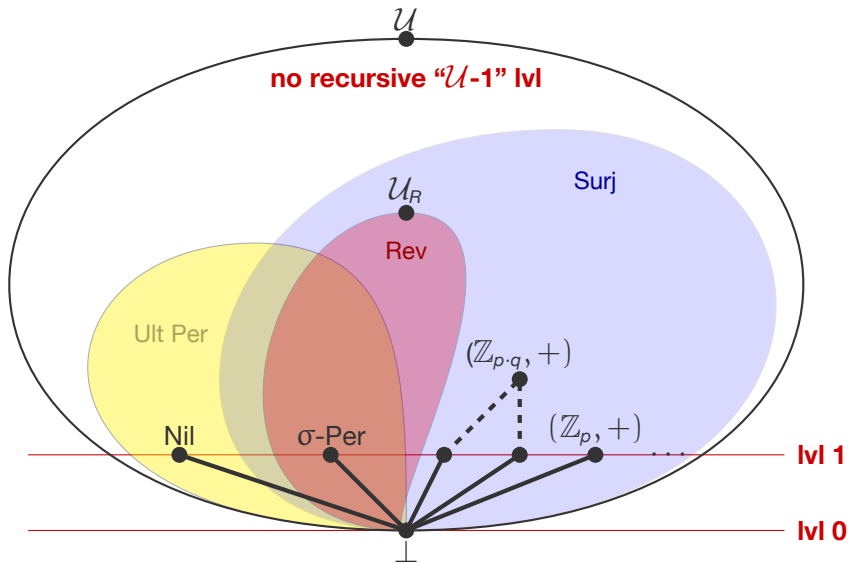
$$G_{\mathcal{A}\langle m, n, k \rangle} = \sigma^k \circ \sigma^m \circ G_{\mathcal{A}}^n \circ \sigma^{-m} .$$



The **bulking quasi-order** is defined by  $\mathcal{A} \leq \mathcal{B}$  if there exists  $\langle m, n, k \rangle$  and  $\langle m', n', k' \rangle$  such that

$$\mathcal{A}^{\langle m, n, k \rangle} \subseteq \mathcal{B}^{\langle m', n', k' \rangle} .$$

# The big picture





# Intrinsic universality

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A CA  $\mathcal{U}$  is **intrinsically universal** if it is maximal for  $\leq$ ,  
*i.e.* for all CA  $\mathcal{A}$ , there exists  $\alpha$  such that  $\mathcal{A} \subseteq \mathcal{U}^\alpha$ .

**Theorem** There exists **Turing universal** CA that are not intrinsically universal.

Turing universality is obtained in a very classical way to ensure compatibility with your own definition.

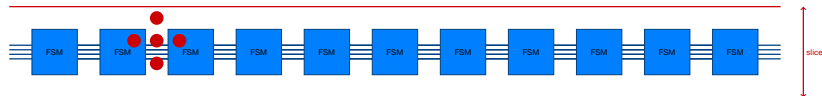
**Theorem [NO STACS 2003]** It is **undecidable**, given a CA to determine if it is intrinsically universal.

The proof proceeds by reduction of the nilpotency problem on spatially periodic configurations.

## 2. Constructing small universal CA

# Using boolean circuits

Every 2D intrinsically universal CA can be converted to a 1D intrinsically universal CA [**Banks 1970**].

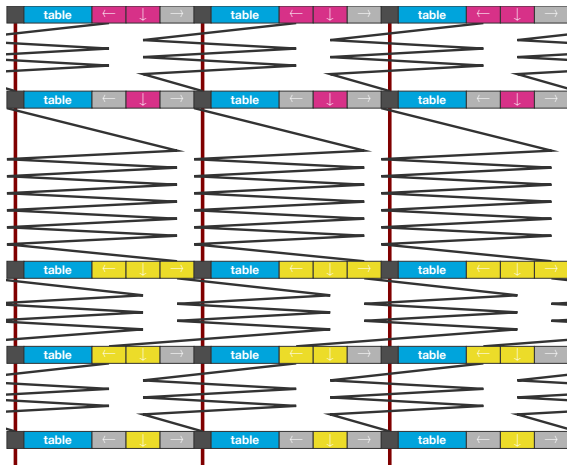


Cut **slices** of a periodic configuration, catenate them **horizontally**, use the **adequate neighborhood**.



The neighborhood can be transformed into radius 1 at the cost of **increase of the number of states**.

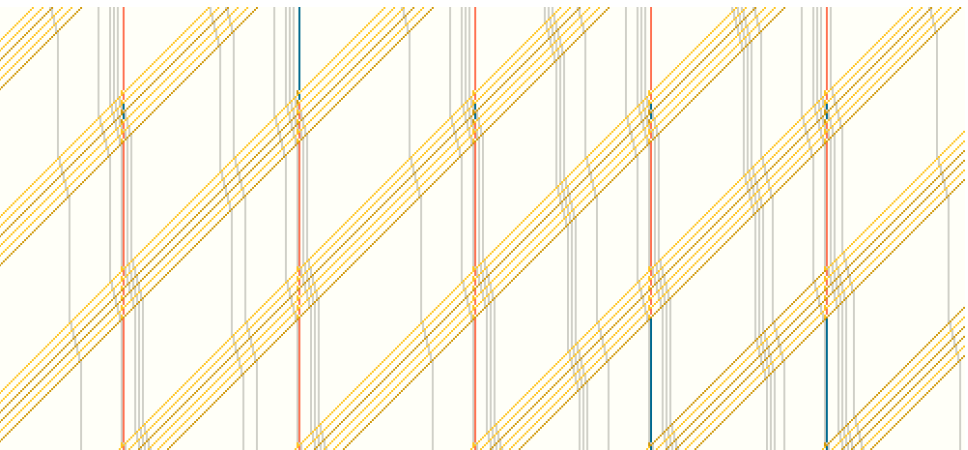
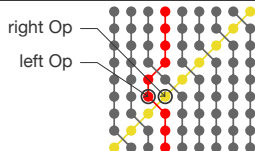
# Using highly parallel Turing machines



Use one **Turing-like head** per macro-cell, the **moving sequence** being **independent** of the computation.

# 6 states

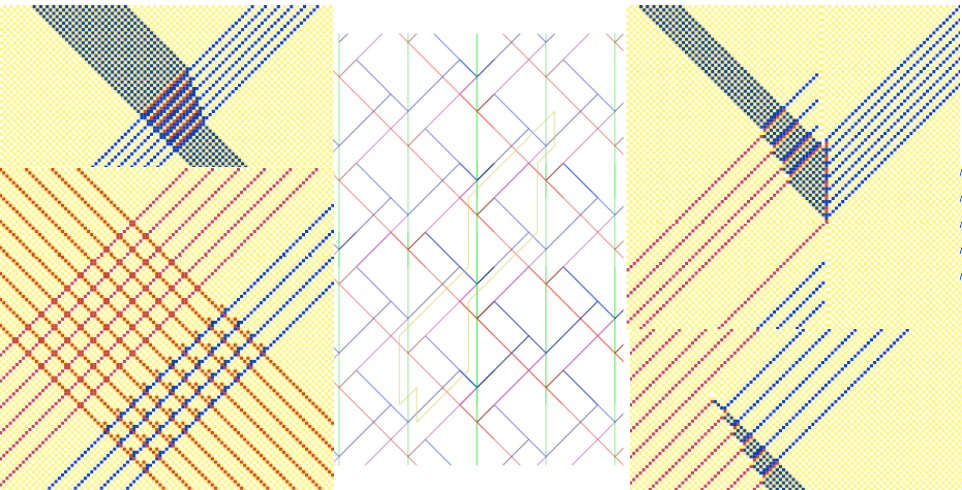
We constructed a **6 states** intrinsically universal CA of radius 1 embedding **boolean circuits** into the line [NO ICALP 2002].



# 4 states

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Using our framework for particles and collisions, this was improved to **4 states** by **arithmetical encoding** [NO Richard CSP 2008].



### 3. Identifying non universal CA

# Proving non universality

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We have a **formal** definition of intrinsic universality. How do we prove that a CA is **not universal**?

Easy if the CA has a property that cannot be a property of universal CA: **injectivity, surjectivity, ultimate periodicity, additivity**, etc.

What about non trivial CA?

Maybe **communication complexity** might help?

**[Goles, Meunier, Rapaport & Theyssier CSP 2008]**



# Deciding the pattern problem

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**Pattern Problem** Given an **ultimately periodic configuration** and a **finite pattern**, decide whether the pattern appears in the orbit of the configuration.

Decidable for simple CA.

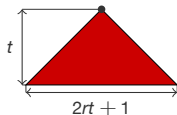
$0'$ -complete for intrinsically universal CA.

...for non trivial CA, this requires **intermediate degrees**.

# Complexity of the verification problem

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**Verification Problem** Given a **finite ball** of radius  $rt$  and a state, decide whether in  $t$  steps, the ball reduces to the state.



Constant for trivial CA.

P-complete for intrinsically universal CA.

...for non trivial CA, this requires **separating P from lower classes**.

# To go further...

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**Open Problem** Is rule 110 intrinsically universal?

(we know that particles and collisions of Matthew are not enough)

Find better methods and invariants to prove **non universality**.