

# Ideal Decompositions For Vector Addition Systems

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# Vector Addition Systems with States (VASS)

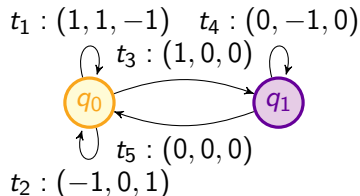


Figure: A 3-dimensional VASS.

$$q_0, 1, 0, 1 \xrightarrow{t_1} q_0, 2, 1, 0 \xrightarrow{t_2} q_0, 1, 1, 1 \xrightarrow{t_3} q_1, 2, 1, 1$$

# Central Problems

Many problems are decidable on VASS, notably

INPUT:  $V$  a VASS,  $c, c'$  two configurations.

**Reachability:**  $c \xrightarrow{*} c' ?$

**Coverability:**  $c \xrightarrow{*} c''$  for some configuration  $c'' \sqsupseteq c' ?$

# Well Structure Transition Systems (WSTS)

WSTS [Abdulla & Čerans & Jonsson & Tsay 2000][Finkel & Schnoebelen 2001]:

- Many problems are decidable, including coverability.
- Based on a well quasi-order (wqo) on configurations.
- VASS are WSTS.

⇒ The VASS coverability problem is decidable.

# Coverability Set

$\forall$  a VASS,  $c$  a configuration.

$$\text{Cover}(c) \stackrel{\text{def}}{=} \{c' \mid \exists c'' \sqsupseteq c' \ c \xrightarrow{*} c''\}$$

Computable thanks to a [coverability tree](#) [Karp & Miller 1969]:

- Forward exploration of a reachability tree.
- A finite description of  $\text{Cover}(c)$  is obtained from nodes' labels.

Ingredient for defining a coverability tree algorithm [Finkel & Goubault-Larrecq 2009,2012]:

- An acceleration procedure.
- A way to represent downward-closed sets of configurations.

⇒ **wqo ideals** are the right objects.

# VASS Reachability Problem

Decidable:

- Several attempts and partial solutions, notably by Sacerdote & Tenney in 1977.
- First proved by Mayr in 1981.
- Clarified by Kosaraju in 1982 and Lambert in 1992.

We call the resulting algorithm, the KLMST:

- Refinement of a finite set of structures following some conditions.
- At first sight little to do with WSTS.

⇒ [wqo ideals](#) are the right objects [Leroux & Schmitz 2015].

# Overview of the Talk

Ideals provide the data structures involved:

- Karp & Miller's coverability tree algorithm which computes the ideal decomposition of the coverability set using [configuration ideals](#).
- The KLMST algorithm, which computes the ideal decomposition of the downward-closure of the set of runs using [run ideals](#).

This talk:

- Present wqo ideals.
- Overview algorithmic applications through two algorithms.



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# Well Quasi-Orders

A relation  $\leq$  on a set  $X$  is a **wqo** if:

- $\leq$  is a quasi-order :  $\left\{ \begin{array}{l} \text{reflexive: } x \leq x \\ \text{transitive: } x \leq y \wedge y \leq z \Rightarrow x \leq z \end{array} \right.$
- Infinite sequences  $x_1, x_2, \dots$  are good:  $x_i \leq x_j$  for some  $i < j$ .

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## Example

$(Q, =)$  is wqo if  $Q$  is finite.

$(\mathbb{N}, \leq)$  is wqo.

$(\mathbb{Z}, \leq)$  is not a wqo since  $0, -1, -2, \dots$  is a bad sequence.

## Downward-Closed Sets

Let  $(X, \leq)$  be a quasi-ordered set.

The **downward-closure** of  $S \subseteq X$ :

$$\downarrow S = \{x \in X \mid \exists s \in S \ x \leq s\}$$

$D \subseteq X$  is **downward-closed** if  $\downarrow D = D$ .

### Lemma

*A quasi-ordered set is wqo if, and only if, it satisfies the **descending chain property**: chains  $D_0 \supsetneq D_1 \supsetneq \dots$  of downward-closed sets are finite.*

# Ideals

$(X, \leq)$  a wqo.

A set  $S \subseteq X$  is **directed** if  $\forall x, y \in S \exists s \in S$  such that  $x, y \leq s$ .

An **ideal** is a non-empty directed downward-closed set.

# Ideals

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## Example

$(Q, =)$  with  $Q$  finite. Ideals are  $\{q\}$  with  $q \in Q$ .

$(\mathbb{N}, \leq)$ : Ideals are  $\mathbb{N}$  and  $\{0, \dots, n\}$  with  $n \in \mathbb{N}$ .

# Ideal Decomposition

Theorem ([Kabil & Pouzet : 1992],[Finkel & Goubault-Larrecq : 2009], [Goubault-Larrecq & Karandikar & Narayan Kumar & Schnoebelen : In preparation])

*Every downward-closed set is the union of a unique finite family of incomparable for the inclusion ideals.*

Application:

- Effective way for representing downward-closed sets.

## Dickson's Lemma

The Cartesian product  $(X_1, \leq_1) \times (X_2, \leq_2)$  of two quasi-ordered sets is the quasi-ordered set  $(X, \leq)$  defined by:

$$(x_1, x_2) \leq (y_1, y_2) \iff x_1 \leq_1 y_1 \wedge x_2 \leq_2 y_2$$



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### Lemma (Dickson's Lemma)

$(X_1, \leq_1)$  and  $(X_2, \leq_2)$  wqo  $\implies (X_1, \leq_1) \times (X_2, \leq_2)$  wqo.

$$\text{Ideals}(X_1, \leq_1) \times (X_2, \leq_2)$$

=

$$\left\{ I_1 \times I_2 \mid \begin{array}{l} I_1 \in \text{Ideals}(X_1, \leq_1) \wedge \\ I_2 \in \text{Ideals}(X_2, \leq_2) \end{array} \right\}$$

# Higman's Lemma

Given a quasi-ordered set  $(X, \leq)$ , we define  $(X, \leq)^*$  as the set  $X^*$  of words over  $X$  quasi-ordered by  $\leq_*$  defined by:

$$\begin{aligned}x_1 \dots x_n \leq_* y_1 \dots y_m \\ \iff \\ \exists i_1 < \dots < i_n \mid x_1 \leq y_{i_1} \wedge \dots \wedge x_n \leq y_{i_n}\end{aligned}$$

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## Lemma (Higman's Lemma)

$(X, \leq)$  wqo  $\implies (X, \leq)^*$  wqo.

$(X, \leq)$  a wqo.

An **atom** of  $(X, \leq)$  is a language of the form:

- $\{\varepsilon\} \cup I$  where  $I$  is an ideal of  $(X, \leq)$ , or
- $(I_1 \cup \dots \cup I_n)^*$  where  $I_1, \dots, I_n$  are ideals of  $(X, \leq)$ .

Theorem ([Jullien 1969], [Kabil & Pouzet : 1992], [Finkel & Goubault-Larrecq : 2009])

*Ideals of  $(X, \leq)^*$  are the finite product of atoms of  $(X, \leq)$ .*

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# Ordering Configurations

$V$  a VASS with:

- $Q$  finite set of control states.
- $d$  counters.

The set of configurations is equipped with  $\sqsubseteq$  defined by:

$$(\text{Confs}, \sqsubseteq) \stackrel{\text{def}}{=} (Q, =) \times (\mathbb{N}, \leq)^d$$

# Coverability Set As Downward-Closed Sets

The coverability set is downward-closed:

$$\begin{aligned} \text{Cover}(c) &\stackrel{\text{def}}{=} \{c' \mid \exists c'' \sqsupseteq c' \ c \xrightarrow{*} c''\} \\ &= \downarrow \{c'' \mid c \xrightarrow{*} c''\} \end{aligned}$$

$\implies \text{Cover}(c)$  is a finite union of configuration ideals.



We introduce  $\omega \notin \mathbb{N}$  and  $\mathbb{N}_\omega \stackrel{\text{def}}{=} \mathbb{N} \cup \{\omega\}$  ordered by:

$$0 \leq 1 \leq 2 \leq \dots \leq \omega$$

Ideals of  $(\mathbb{N}, \leq)$  are:

$$\{n \in \mathbb{N} \mid n \leq x\}$$

Where  $x \in \mathbb{N}_\omega$ .

# Representing Configuration Ideals

$$(\text{Confs}, \sqsubseteq) \stackrel{\text{def}}{=} (Q, =) \times (\mathbb{N}, \leq)^d$$

Ideals of  $(\text{Confs}, \sqsubseteq)$  are the sets:

$$\llbracket \mathbf{q}, \mathbf{x} \rrbracket_{\text{Confs}} \stackrel{\text{def}}{=} \{\mathbf{q}\} \times \{\mathbf{v} \in \mathbb{N}^d \mid \mathbf{v} \leq \mathbf{x}\}$$

where  $(\mathbf{q}, \mathbf{x})$  is an **extended configuration** in  $Q \times \mathbb{N}_\omega^d$ .

## Extending the Step Relation

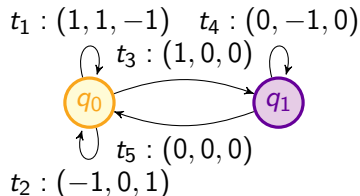
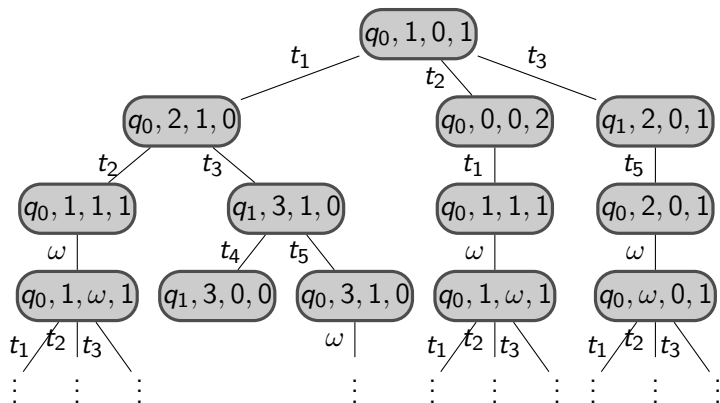


Figure: A 3-dimensional VASS.

$$q_0, 1, 0, \omega \xrightarrow{t_1} q_0, 2, 1, \omega \xrightarrow{t_2} q_0, 1, 1, \omega \xrightarrow{t_3} q_1, 2, 1, \omega$$

# The Coverability Tree Construction



A prefix of the tree computed by the Karp and Miller algorithm.

$$\text{Cover}(q_0, 1, 0, 1) = \llbracket q_0, \omega, \omega, \omega \rrbracket_{\text{Confs}} \cup \llbracket q_1, \omega, \omega, \omega \rrbracket_{\text{Confs}}$$

Once the decomposition of the coverability set into ideals is computed:

- The coverability problem reduces to find an ideal that contains a configuration.
- The place boundedness problem reduces to check that every ideal satisfies the place boundedness condition.

## Complexity View Point

The size of the coverability set  $\stackrel{\text{def}}{=} \text{size of the decomposition into maximal ideals (numbers encoded in binary)}$ .

- There exists a family of initialized VASS with finite but Ackermannian-sized reachability sets [Cardoza & Lipton & Meyer 1976].
- Lower-bound tight since the Karp and Miller algorithm is terminating in at most an Ackermannian number of steps [Figueira & Figueira & Schmitz & Schnoebelen 2011].

$\implies$  The Karp and Miller algorithm is optimal.

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# Ordering Runs

$V$  is a VASS with a set  $T$  of transitions.

$$(PreSteps, \preceq) \stackrel{\text{def}}{=} (Confs, \sqsubseteq) \times (T, =) \times (Confs, \sqsubseteq)$$

$$(PreRuns, \trianglelefteq) \stackrel{\text{def}}{=} (Confs, \sqsubseteq) \times (PreSteps, \preceq)^* \times (Confs, \sqsubseteq)$$

A **run** is a prerun of the following form:

$$(c, (c_1, t_1, c'_1) \dots (c_k, t_k, c'_k), c')$$

with:

$$c = c_1 \xrightarrow{t_1} c'_1 = c_2 \dots c_k \xrightarrow{t_k} c'_k = c'$$

$Runs(c, c')$  is the set of runs from  $c$  to  $c'$ .



# Reachability Problem

Reduces to the emptiness of:

$$\downarrow \text{Runs}(c, c')$$

This set can be uniquely decomposed as maximal prerun ideals.

# Prestep Ideals

Ideals of  $(PreSteps, \preceq)$  have the following form, where  $e = (c, t, c')$  is an **extended prestep**, i.e.  $c, c'$  are extended configurations, and  $t \in T$ :

$$\llbracket e \rrbracket_{PreSteps} = \llbracket c \rrbracket_{Confs} \times \{t\} \times \llbracket c' \rrbracket_{Confs} .$$

# Prerun Ideals

Ideals of  $(PreRuns, \sqsubseteq)$  have the following form, where  $p$  is a regular expression denoting a product over extended steps and  $c, c'$  are extended configurations:

$$\llbracket c, p, c' \rrbracket_{PreRuns} = \llbracket c \rrbracket_{Confs} \times \llbracket p \rrbracket_{PreSteps}^* \times \llbracket c' \rrbracket_{Confs} .$$

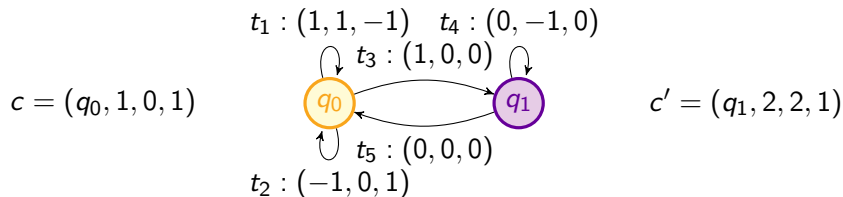
with:

$$p ::= a_1 \cdots a_n , \quad a ::= e + \varepsilon \mid E^*$$

where  $e$  ranges over extended presteps and  $E$  over finite sets of extended presteps, with semantics:

$$\begin{aligned} \llbracket a_1 \cdots a_n \rrbracket_{PreStep}^* &\stackrel{\text{def}}{=} \llbracket a_1 \rrbracket_{PreStep}^* \cdots \llbracket a_n \rrbracket_{PreStep}^* \\ \llbracket e + \varepsilon \rrbracket_{PreStep}^* &\stackrel{\text{def}}{=} \llbracket e \rrbracket_{PreSteps} \cup \{\varepsilon\} \\ \llbracket E^* \rrbracket_{PreStep}^* &\stackrel{\text{def}}{=} \left( \bigcup_{e \in E} \llbracket e \rrbracket_{PreSteps} \right)^* \end{aligned}$$

## Example

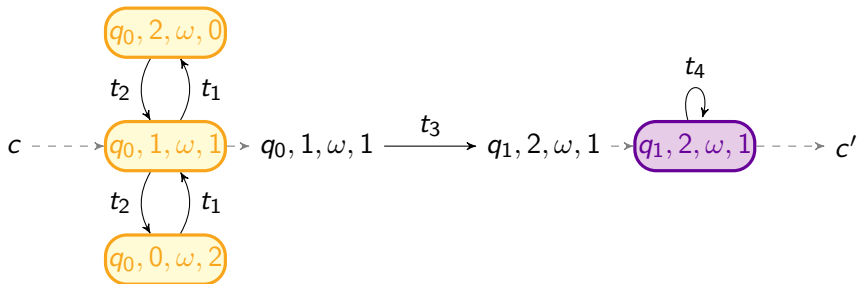
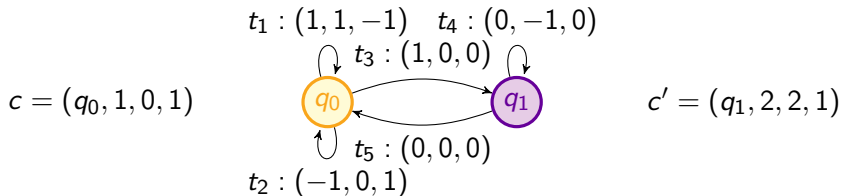


Any sequence of transitions in

$$\{t_1 t_2, t_2 t_1\}^{n+2} t_3 t_4^n$$

for  $n \geq 0$  provides runs in  $Runs(c, c')$ .

# Example



$$\Downarrow \text{Runs}(c, c') = \llbracket [c, E_0^* \cdot (e_1 + \varepsilon) \cdot E_1^*, c'] \rrbracket_{\text{PreRuns}}$$

# The KLMST Algorithm

## Theorem (Leroux & Schmitz 2015)

*The KLMST algorithm computes an ideal decomposition of  $\downarrow\text{Runs}(c, c')$ .*

$\implies$  the decomposition of  $\downarrow\text{Runs}(c, c')$  into maximal ideals is effectively computable.

Once the decomposition of  $\downarrow\text{Runs}(c, c')$  into ideals is computed:

- The reachability problem reduces to the emptiness of the decomposition.
- Provide a way to compute the downward-closure of the set of words of transitions from  $c$  to  $c'$ , first proved in [Habermehl & Meyer & Wimmel 2012][Zetsche 2015].

# Complexity

- The ideal decomposition of  $\downarrow \text{Runs}(c, c')$  is at least Ackermannian. We exhibit in [Leroux & Schmitz 2015] a cubic-Ackermannian upper-bound.
- The reachability problem may have a better complexity. The best lower bound in exponential space [Cardoza & Lipton & Meyer 1976].



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# Overview

Coverability tree algorithm  
Karp and Miller

The KLMST algorithm  
Mayr, Kosaraju, and Lambert

configuration ideals

run ideals

↓ reachability set

↓ runs

The ideal framework provides abstract foundations for generalizing classical algorithms to VASS extensions. The coverability tree construction has been recently extended to:

- Unordered data Petri nets [Hofman & Lasota & Lazić & Leroux & Schmitz & Totzke 2016]
- Branching VASS [Verma & Goubault-Larrecq 2005],[Jacobé de Naurois 2014].
- Pushdown VASS [Leroux & Praveen & Sutre 2014].

Other recent applications of wqo ideals:

- Lazić and Schmitz in 2015 revisited the backward coverability algorithm for VASS.
- Use of ideal decompositions for computing the downward-closure of formal languages by Zetsche in 2015.
- Decidability of separation by piecewise testable languages by Czerwiński, Martens, van Rooijen, Zeitoun, and Zetsche in 2015.