Tutorial on Cellular Automata

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Theory of self-reproducing automata



Cellular automata emerged in the late 40s from the work of Ulam and von Neumann.

A cellular automaton (CA) is a discrete dynamical model.

Space is discrete and consists of an infinite regular grid of cells. Each cell is described by a **state** among a common **finite set**.

Time is discrete. At each clock tick cells change their state **deterministically**, synchronously and uniformly according to a common local update rule.

Conway's famous Game of Life

The Game of Life is a 2D CA invented by Conway in 1970.

Space is an infinite chessboard of alive or dead cells.

The **local update rule** counts the number of alive cells among the eight surrounding cells :

- exactly three alive cells give birth to dead cells ;
- less than three alive cells kill by loneliness ;
- more than four alive cells kill by overcrowding ;
- otherwise the cell remains in the same state.

































von Neumann self-reproducing CA

Class	Name	Symbol		Number	Summary of transition rule
	Unexcitable	υ		1	Direct process changes U into seu- sitized states and then into T_{uuv} or C_{ee} . Reverse process kills T_{uuv} or $C_{vv'}$ into U.
Ordinary	Confluent	$ \begin{cases} \epsilon = 0 \text{ (quiescent)} \\ \epsilon = 1 \text{ (excited)} \end{cases} \\ \mathbf{C_{**}} \\ \begin{cases} \epsilon' = 0 \text{ (next quiescent)} \\ \epsilon' = 1 \text{ (next excited)} \end{cases} $		4	Receives conjunctively from T _{ise} , directed toward it; emits with double delay to all T _{ue} , not directed toward it. Killed to U by T _{ist} directed to- ward it; killing dominates reception.
	on (T _{wee})	Ttar	Olass: u = 0 (ordinary) u = 1 (special) u = 1 (special) e = 0 (quiescent) e = 1 (excited) i ● i ● i ●	8	Receives disjunctively from $T_{n,v}$ directed toward it and from $C_{u,v}$ emits in output direction with single delay (a) to $T_{u,v}$ not directed to- ward it and to C_{v} . (b) to U or sensitized states by direct process (c) process $T_{u,v}$ by reverse process $T_{u,v}$ by reverse Killed to U by $T_{u,v}$ directed to- ward 1; killing dominates re- ception.
Special	Transmissi	T	$\begin{array}{c} \hline \\ \hline \\ \\ \hline \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ $	8	Receives disjunctively from T_{in} , directed toward it and from C_{in} ; emits in output direction with single delay (a) to T_{in} not directed to- ward it (b) to U or sensitized states (c) U_{in} (c) C_{in} (c) C_{in} (c) by reverse process. Killed to U by T_{in} directed to- ward it; killing dominates re- ception.
	Sensitized	Stee See St. St. St. St. St. St.			These are intermediaty states in the direct process. Two directed toward U converts it to S. Thereafter, B ₂ is forwered by (a) Sari directed toward the cell (b) Saro therwise, is directed to the direct process terminates in a Two or C ₁ . See Figure 10.

A 29 states CA with von Neumann neighborhood with wires and construction/destruction abilities.



Self-reproduction using Universal Computer + Universal Constructor.

(Theory of Self-Reproducing Automata, edited by Burks, 1966)

A 8 states self-reproducing CA with von Neumann neighborhood using sheathed wires.



Implemented by Hutton in 2009, several millions cells, self-reproducing in 1.7×10^{18} steps (estimated).

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Langton self-reproducing loops



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(Langton, 1984)


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Langton self-reproducing loops



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Langton self-reproducing loops



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What is a **formal definition** of a self-reproducing CA?





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An old open problem by Ulam

30 A COLLECTION OF MATHEMATICAL PROBLEMS

2. A problem on matrices arising in the theory of automata

The theory of automata leads to some interesting questions which in the simplest case reduce to matrix theory formulations. Suppose one has an infinite regular system of lattice points in E^n , each capable of existing in various states S_1, \ldots, S_n . Each lattice point has a well defined system of m neighbors, and it is assumed that the state of each point at time t + 1 is uniquely determined by the states of all its neighbors at time t. Assuming that at time t only a finite set of points are active, one wants to know how the activation will spread. In particular, do there exist "universal" systems which are capable of generating arbitrary systems of states. Do there exist subsystems which are able to "reproduce," i.e., to produce other subsystems like the initial ones? In a simple case, one would ask: Does there exist an infinite matrix $A = [a_{ii}]$ of zeros and ones with $\sum_{i} a_{ii} < B$ for all rows i, such that every possible finite matrix of zeros and ones will appear as a main-diagonal submatrix of some power A^p of A? A positive result would provide a simple example of a "universal" and "reproducing" system (in a very limited sense only).

More generally, an analogous question may be asked about matrices whose elements are integers modulo p.

A similar inquiry is pertinent in case of the "recursive functions." Can one obtain all recursive functions by a prescribed algorithm operating on a finite set of such functions? More generally, are all expressions in Gödel's system obtainable from a finite system of such expressions and a finite number of rules of composition performed in a prescribed order? That is to say, for example, application of two operations, applied in turn in an order given by one sequence of two symbols.

Perhaps there exists a logical analogue of our universal matrix model.

(Ulam, 1960)

Open Pb Does there exist a CA and a finite configuration that **generates every possible finite pattern**?



8/108

Part I Computing inside cellular space

Engineering CA and configurations to achieve computational tasks. Universalities. Massively parallel computing.

Part II Computing properties of cellular automata

Analyzing given CA to decide both immediate and dynamical properties. Classical results.

Part III Computation and reduction: undecidability results

Reducing instances of undecidable problems to CA and configurations to prove undecidability results. Lots of properties of CA are undecidable.

Going further



Section I Cellular Automata

http://golly.sf.net/

http://www.lif.univ-mrs.fr/~nollinge/acuc/

Part I

Computing inside the cellular space

Part I Computing inside the cellular space

1. Cellular automata

2. A universal model of computation

3. A model of parallel computation



Cellular automata



Definition A CA is a tuple (d, S, N, f) where S is a finite set of states, $N \subseteq_{\text{finite}} \mathbb{Z}^d$ is the finite **neighborhood** and $f: S^N \to S$ is the **local rule** of the cellular automaton.

A configuration
$$c \in S^{\mathbb{Z}^d}$$
 is a coloring of \mathbb{Z}^d by S .

The global map $G: S^{\mathbb{Z}^d} \to S^{\mathbb{Z}^d}$ applies f uniformly and locally: $\forall c \in S^{\mathbb{Z}}, \forall z \in \mathbb{Z}^d, \quad F(c)(z) = f(c_{|z+N}).$

A space-time diagram $\Delta \in S^{\mathbb{Z}^d \times \mathbb{N}}$ satisfies, for all $t \in \mathbb{N}$, $\Delta(t+1) = F(\Delta(t))$.

1. Cellular automata

Space-time diagram





2D cellular automata



Typical high dimension CA in this tutorial: $c \in S^{\mathbb{Z}^2}$.

Classical von Neumann neighborhood :

 $N_{\rm vN} = \{0\} \times \{-1, 0, 1\} \cup \{-1, 0, 1\} \times \{0\}$



von Neumann

Classical Moore neighborhood :

 $N_{\text{Moore}} = \{-1, 0, 1\} \times \{-1, 0, 1\}$



1D cellular automata

More restricted **low dimension CA**, easier to analyze: $c \in S^{\mathbb{Z}}$.

Classical first neighbors neighborhood :

$$N_{\text{first}} = \{-1, 0, 1\}$$



$$N_{\text{OCA}} = \{0, 1\}$$













Configurations



The set of configurations, $S^{\mathbb{Z}^d}$, is **uncountable**. What reasonnable **countable subset** can we consider?

Recursive configurations are useless, **undecidability** is everywhere (Rice theorem).

Finite configurations with a quiescent state.

Periodic configurations are ultimately periodic.

Ultimately periodic configurations compromise.

Thanks to locality, one can also consider partial space-time diagrams to study all configurations.

Part I Computing inside the cellular space

1. Cellular automata

2. A universal model of computation



3. A model of parallel computation

Universality in higher dimensions

Construction of universal CA appeared with CA as a tool to embed computation into the CA world. First, for 2D CA

1966	von Neumann	5	29
1968	Codd	5	8
1970	Conway	8	2
1970	Banks	5	2

A natural idea in 2D is to emulate **universal boolean circuits** by embedding ingredients into the CA space: **signals**, **wires**, **turns**, **fan-outs**, **gates**, **delays**, **clocks**, *etc*.









- (α) both north and south, or east and west, neighbors in state x
 or ;
- (β) at least two neighbors in state
 ★ or and either exactly one neighbor in state ★ or exactly one neighbor in state ■.









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Copper: Intersections





Copper: Gates







2. A universal model of computation

19/108

Copper: Xing





Copper: AND





Copper: FSM





Theorem Copper is universal for boolean circuits.

Simulating a universal device requires an **ultimately periodic configuration** of **infinitely many** non quiescent cells.



Theorem GoL is universal for boolean circuits.

The construction uses gliders as signals.



(Conway et al., Winning Ways Vol. 2., 1971)

GoL: Eater





GoL: Duplicator





GoL: Gosper's p46 Gun





GoL: Xing





GoL: Combining





Remark Boolean circuits are **less intuitive** to simulate in 1D, but it is easy to simulate **sequential models of computation** like Turing machines.



(A. R. Smith III, Simple computation-universal cellular spaces, 1971)

1971	Smith III	18
1987	Albert & Culik II	14
1990	Lindgren & Nordhal	7
2004	Cook	2

A cellular automaton is Turing-universality if

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A cellular automaton is **Turing-universality** if... What exactly is the **formal definition**? What is a **non universal** CA?

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A cellular automaton is **Turing-universality** if... What exactly is the **formal definition**? What is a **non universal** CA?

A consensual yet formal definition is unknown and seems difficult to achieve. (Durand & Roka, 1999)

2. A universal model of computation



B	В	(q_0, a)	а	B
B	В	а	(q_1, B)	B
B	В	(q_1,b)	В	B
B	(q_0,B)	b	В	B
B	В	(q_0,a)	В	B



B	•	B	•	a	q_0	•	a	•	B
B	\leftrightarrow		\leftrightarrow	a	\leftrightarrow	q_0	a	\leftrightarrow	
B	•	B	•	a	•	q_1	B	•	B
B	\leftrightarrow	B	\leftrightarrow	a	q_1	\leftrightarrow		\leftrightarrow	B
B	•	B	•	q_1	b	•	B	•	B
B	\leftrightarrow	B	q_1	\leftrightarrow	b	\leftrightarrow	B	\leftrightarrow	B
B	•	B	q_0	•	b	•		•	B
B	\leftrightarrow	B	\leftrightarrow	q_0	b	\leftrightarrow	B	\leftrightarrow	B
B	•	B	•	q_0	a	•	B	•	B

Universality of Rule 110 à la Cook





Uses huge particles and collisions...



Theorem Rule 110 is Turing-universal.

Part I Computing inside the cellular space

1. Cellular automata

2. A universal model of computation

3. A model of parallel computation





Remark Boolean circuits are not sequential and can also simulate **parallel models of computation**.



This leads to a stronger notion of **intrinsic universality** on CA, the ability to simulate any CA.

Bulking classifications



Idea define a **quasi-order** on cellular automata, **equivalence classes** capturing behaviors.

Definition A CA \mathcal{A} is **algorithmically simpler** than a CA \mathcal{B} if all the space-time diagrams of \mathcal{A} are space-time diagrams of \mathcal{B} (up to uniform state renaming).

Formally, $\mathcal{A} \subseteq \mathcal{B}$ if there exists $\varphi : S_{\mathcal{A}} \to S_{\mathcal{B}}$ injective such that $\overline{\varphi} \circ G_{\mathcal{A}} = G_{\mathcal{B}} \circ \overline{\varphi}$.

That is, the following diagram commutes:

Bulking quasi-order



Quotient the set of CA by **discrete affine transformations**, the only geometrical transformations preserving CA.

The $\langle m, n, k \rangle$ transformation of \mathcal{A} satisfies: $G_{\mathcal{A}^{\langle m,n,k \rangle}} = \sigma^k \circ o^m \circ G^n_{\mathcal{A}} \circ o^{-m}$.



Definition The **bulking quasi-order** is defined by $\mathcal{A} \leq \mathcal{B}$ if there exists $\langle m, n, k \rangle$ and $\langle m', n', k' \rangle$ such that $\mathcal{A}^{\langle m, n, k \rangle} \subseteq \mathcal{B}^{\langle m', n', k' \rangle}$.

The big picture







Definition A CA \mathcal{U} is **intrinsically universal** if it is maximal for \leq , *i.e.* for all CA \mathcal{A} , there exists α such that $\mathcal{A} \subseteq \mathcal{U}^{\alpha}$.

Theorem There exists **Turing universal** CA that are not intrinsically universal.

where Turing universality is obtained in a very classical way to ensure compatibility with your own definition.

Theorem Boolean circuit universal 2D CA are also intrinsically universal.

(Delorme et al., 2011)



Every **2D intrinsically universal** CA can be converted to a **1D intrinsically universal** CA **[Banks 1970]**.



Using highly parallel Turing machines





Use one **Turing-like head** per macro-cell, the **moving sequence** being **independent** of the computation.

More intricate: 6 states

A 6 states intrinsically universal CA of radius 1 embedding boolean circuits into the line.





right Op

left Op

Parallel language recognition



In the **70s** CA have been studied as a model of massive parallelism, in particular as language recognizers.

A CA (S, N, f) recognizes a language $L \subseteq \Sigma^*$ in time t(n) with border state # and accepting states $Y \subseteq S$ if for each $u \in \Sigma^*$, starting from the configuration ${}^{\omega}\!\# u \# {}^{\omega}$, at time t(|u|) the state of cell 0 is in Y if and only if $u \in L$.





Recognizing **palindromes** in real time with 16 states.





3. A model of parallel computation



Theorem Every language recognized in time t(n) = n + T(n) is recognized in time t(n) = n + T(n)/k for all k. The cost of **acceleration** is paid using more states.

Same techniques as for Turing machine acceleration.

Theorem[Ibarra] Every language recognized in **linear time** is recognized in **real time** if and only if the class of real time langages is **closed by mirror image**.

Open Pb Does **Real time = Linear time**?

Firing Squad Synchronization Pb



CA can also solve purely parallel tasks.

Definition A CA solves the **FSSP** if for all n > 0 starting from $#GB^{n-1}#$ it eventually enters $#F^n#$ and the fire state F never appears before.

Theorem[Minksy] A CA solves FFSP in time 3n - 1 with 15+1 states.


Remark No CA can solve the FSSP in time less than 2n - 2.

Theorem[Mazoyer 1984] A **CA solves FSSP** in **optimal time** with 6+1 states.





Part II

Computing properties of CA

Part II Computing properties of CA

- 5. Immediate properties
- 6. Dynamical properties



Cellular automata

 $\langle \rangle$

Definition A CA is a tuple (d, S, r, f) where S is a **finite set** of states, $r \in \mathbb{N}$ is the **neighborhood radius** and $f: S^{(2r+1)^d} \to S$ is the **local rule** of the cellular automaton.

A configuration
$$c \in S^{\mathbb{Z}^d}$$
 is a coloring of \mathbb{Z}^d by S .

The global map $G: S^{\mathbb{Z}^d} \to S^{\mathbb{Z}^d}$ applies f uniformly and locally: $\forall c \in S^{\mathbb{Z}}, \forall z \in \mathbb{Z}^d, \quad F(c)(z) = f(c(z-r), \dots, c(z+r)).$

A space-time diagram $\Delta \in S^{\mathbb{Z}^d \times \mathbb{N}}$ satisfies, for all $t \in \mathbb{N}$, $\Delta(t+1) = F(\Delta(t))$.



Definition A **DDS** is a pair (X, F) where X is a topological space and $F : X \to X$ is a continuous map.



Definition The **orbit** of $x \in X$ is the sequence $(F^n(x))$ obtained by iterating *F*.

In this tutorial, $X = S^{\mathbb{Z}}$ is endowed with the **Cantor topology** (product of the discrete topology on *S*), and *F* is a continuous map **invariant by translation**.

Topology



Definition A topological space is a pair (E, O) where $O \subseteq \mathcal{P}(E)$ is the set of **open** subsets satisfying:

- \mathcal{O} contains both \varnothing and E;
- O is closed under union;
- \mathcal{O} is closed under finite intersection.

S is endowed with the **discrete topology**: $\mathcal{O} = \mathcal{P}(S)$.

 $S^{\mathbb{Z}^d}$ is endowed with the **Cantor topology**: the product topology of the discrete topology.

$$\mathcal{O} = \left\{ \prod X_i \, \middle| \, X_i \subseteq S \land \operatorname{Card}(\{i | X_i \neq S\}) < \omega \right\}$$

Cantor topology is metric and compact.

Cylinders



Definition The **cylinder** $[m] \subseteq S^{\mathbb{Z}^d}$ with radius $r \ge -1$ generated by the pattern $m \in S^{[-r,r]^d}$ is

$$[m] = \left\{ c \in S^{\mathbb{Z}^d} \, \middle| \, \forall p \in \mathbb{Z}^d, ||p||_{\infty} \leq r \Rightarrow c(p) = m(p)
ight\}$$



Proposition Cylinders are a countable **clopen generating set**.

Notation $[m] \prec [m']$ means [m] is a **sub-cylinder** of [m'], *i.e.* $[m'] \subset [m]$.



~

Proposition Cantor topology is metric

$$\forall c, c' \in S^{\mathbb{Z}^d}, \quad \delta(c, c') = 2^{-\min\left\{ \|p\|_{\infty} | c_p \neq c'_p \right\}}$$



Remark Open balls of δ exactly correspond to cylinders.

Compact



Proposition Every sequence of configurations $(c_i) \in S^{\mathbb{Z}^{d^{\mathbb{N}}}}$ admits a converging subsequence.

Proof by extraction:

By recurrence, let $(c_i^0) = (c_i)$.

It is alway possible to find:

- a cylinder $[m_n]$ of radius n and
- an infinite subsequence (c_i^{n+1}) de (c_{i+1}^n)

such that for all $i \in \mathbb{N}$, $c_i^{n+1} \in [m_n]$.

By construction $[m_{n+1}] \subset [m_n]$ and (c_0^{i+1}) is a converging subsequence of (c_i) (to $\bigcap [m_i]$): $\delta(c_0^{n+1}, c_0^{n+2}) \leq 2^{-n}$.

König trees



Remark Cantor topology is essentially **combinatorial**.

Remark Main properties can be obtained using **extraction**.

König's Lemma Every infinite tree with finite branching admis an infinite branch.

Definition The König tree \mathcal{A}_C of a set of configurations $C \subseteq S^{\mathbb{Z}^d}$ is the tree (V_C, E_C) where

$$V_C = \{ [m] | C \cap [m] \neq \emptyset \}$$

$$E_C = \{ ([m], [m']) | [m] \prec [m'] \land r([m']) = r([m]) + 1 \}$$

The root of the tree is the cylinder $[] = S^{\mathbb{Z}^d}$ of radius -1.

Toppings



The König tree of a **non empty** set of configurations is an **infinite tree** with finite branching.



To each infinite branch $([m_i])$ is associated a unique configuration $\bigcap[m_i]$.

Definition The **topping** $\overline{A_C}$ of a König tree is the set of configurations associated to its infinite branches.

König topology



The König topology is defined by its closed sets: toppings of König trees.

The complementary of a closed set is the union of cylinders that are not nodes of the tree.

Theorem Cantor and König topologies are the same.

Most topological concepts can be explained using trees:

- dense sets;
- closed sets with non empty interior;
- compacity;
- Baire's theorem.



Proposition clopen sets are finite unions of cylinders.

Definition A mapping $G: S^{\mathbb{Z}^d} \to S^{\mathbb{Z}^d}$ is **local** in $p \in \mathbb{Z}^d$ if there exists a radius r such that:

$$\forall c, c' \in S^{\mathbb{Z}^d}, \quad \left[c_{|r}\right] = \left[c'_{|r}\right] \Rightarrow G(c)_p = G(c')_p$$

Proposition A mapping $G : S^{\mathbb{Z}^d} \to S^{\mathbb{Z}^d}$ is **continuous** if and only if it is **local in every point**.



.

Definition The translation $\sigma_k : S^{\mathbb{Z}^d} \to S^{\mathbb{Z}^d}$ with vector $k \in \mathbb{Z}^d$ satisfies:

$$\forall c \in S^{\mathbb{Z}^d}, \forall p \in \mathbb{Z}^d, \quad \sigma_k(c)_p = c_{p-k}$$

Theorem[Hedlund 1969] Continuous mapping commuting with translations are exactly global maps of CA.

Thus CA can be given by there global map.

Remark CA have a **dual nature**: discrete dynamical systems with a description as finite automata.



A central object in symbolic dynamics is subshift.

Definition A subshift of $S^{\mathbb{Z}^d}$ is a set of configurations both closed and invariant by translation.

Ex ...abaababaaa...

$$X = \left\{ c \in \{a, b\}^{\mathbb{Z}} \mid \forall p \in \mathbb{Z}, c_p = b \Rightarrow c_{p+1} = a \right\}$$

Remark Subshifts are also very natural when studying CA.



Definition The **language** L(X) of a **subshift** X is the set of finite patterns appearing in X.

Proposition A subshift is characterized by its language.

$$\overline{L} = \left\{ c \in S^{\mathbb{Z}^d} \, \middle| \, \forall r \ge 0, \, \forall m \in S^{[-r,r]^d}, m \prec c \Rightarrow m \in L \right\}$$

Warning It might be that $L(\overline{L}) \neq L$.



Proposition A subshift is characterized by the set of its **forbidden words**: the complementary of its language.

Proposition Subshifts are in bijection with **minimal sets of forbidden words** (for set inclusion).

Ex $X = S_{\{bb\}}$



Definition A **subshift of finite type (SFT**) is defined by a finite set of forbidden words.

Proposition The **set of SFT** is invariant by **CA preimage**.

Remark SFT correspond to **tilings**: colorings with local uniform constraints.

Definition A **sofic subshift** is the image of a SFT by a CA.

Proposition 1D sofic subshifts are subshifts that admit a **regular language** of forbidden words.

Part II Computing properties of CA

4. Discrete dynamical systems

5. Immediate properties

6. Dynamical properties





Definition A configuration $c \in S^{\mathbb{Z}^d}$ is **periodic**, with period $(p_i) \in \mathbb{N}^{*d}$, if

 $\forall p \in \mathbb{Z}^d, \forall (k_i) \in \mathbb{Z}^d, \quad c(p) = c(p + (k_1p_1, \dots, k_dp_d))$.

Notation. G_p denotes G restricted to periodic configurations.

Definition A configuration $c \in S^{\mathbb{Z}^d}$ is *s*-finite if *s* is quiescent (f(s, ..., s) = s) and

Card
$$\left(\left\{p \in \mathbb{Z}^d \,\middle|\, c(p) \neq s\right\}\right) < \omega$$

.

Notation. G_f denotes G restricted to s-finite configurations.



Definition A CA $G : C \rightarrow C$ is:

- **injective** if $\forall x, y \in C, F(x) \neq F(y)$;
- surjective if $\forall x \in C, F^{-1}(x) \neq \emptyset$;
- bijective if both injective and surjective.

Definition A bijective CA *G* is **reversible** if there exists a CA *H* such that $H = G^{-1}$.

Corollary Every bijective CA is reversible.



Definition A configuration of a CA is a **garden of Eden** if it has no preimage.

Proposition A CA is **surjective** if and only if it has **no** garden of Eden.

Definition Given a CA, a pattern $m \in S^{[-r,r]^d}$ is an **orphan** if m has no preimage.

Proposition A CA is **surjective** if and only if it has **no orphan**.



Theorem[Moore 1962] G surjective \Rightarrow G_f injective.

Theorem[Myhill 1963] G_f injective \Rightarrow *G* surjective.

Corollary *G* injective \Rightarrow *G* bijective.

Let's prove it!

Lemma For all $d, n, s, r \in \mathbb{N}$, there exists k big enough so that

$$\left(s^{n^d} - 1\right)^{k^d} < s^{(kn-2r)^d}$$

Key picture





5. Immediate properties

66/108



Let G be a CA with radius r that is not injective on s-finite configurations. There exists two patterns p_1 and p_2 of size n^d bordered by s on a width r with a same image. Replacing p_1 by p_2 in any configuration does not change its image.

Consider square patterns of side kn. Their images are patterns of side kn - 2r. There exists $s^{(kn-2r)^d}$ possible images for at most $(s^{n^d} - 1)^{k^d}$ preimages. By previous lemma, there is an orphan thus G is not surjective.



Let G be a non surjective CA with radius r. It admits an orphan of size n^d .

Consider the set of *s*-finite configurations the non-quiescent pattern of which is of side kn - 2r. There are $s^{(kn-2r)^d}$ such patterns. Their *s*-finite images have patterns of side kn. At most $(s^{n^d} - 1)^{k^d}$ of them are not orphans. Thus two of the configurations have a same image, *G* is not injective on finite configurations.

The big picture



 \triangle means true for d = 1, false for $d \ge 2$ \Box means true for d = 1, **open** for $d \ge 2$



Proposition G_p injective \Rightarrow G_p bijective.

Key CA preserve periods.

Proposition G_f surjective \Rightarrow G_f injective.

Key Finite configurations are dense + Moore-Myhill.



Proposition $\exists G$ surjective $\neq G_f$ surjective.

XOR rule:
$$S = \mathbb{Z}_2$$
, $f(x, y) = x + y \pmod{2}$.

Proposition $\exists G, G_f$ surjective $\neq G$ injective.

controlled-XOR rule: $S = \{0, 1\} \times \mathbb{Z}_2$, $f((1, x), (_, y)) = (1, x + y \pmod{2})$ and $f((0, x), _) = (0, x)$.

De Bruijn Graph



Definition The **De Bruijn graph** of a 1D CA (S, r, f) is the labelled graph (V, E) where:

•
$$V = S^{2r}$$
;

• $(u, s, v) \in E$ if $f(s_0, ..., s_{2r}) = s$ where $u = (s_0, ..., s_{2r-1})$ and $v = (s_1, ..., s_{2r})$.



A convenient tool to decide properties of 1D CA.

5. Immediate properties

Deciding surjectivity in 1D



Remark A CA *G* is surjective if and only if $G(S^{\mathbb{Z}^d}) = S^{\mathbb{Z}^d}$.

Lemma A 1D CA G is surjective iff $L(G(S^{\mathbb{Z}})) = S^*$.

Theorem[Amoroso, Patt 1972] Surjectivity 1D is decidable.



Deciding injectivity in 1D

 \mathbf{r}

A 1D CA is not injective iff its De Bruijn graph contains two distinct paths with the same biinfinite word.



If such a pair of paths exist, there exists one with **ultimately periodic** paths.

Theorem[Amoroso, Patt 1972] Injectivity is decidable in 1D.

5. Immediate properties

Part II Computing properties of CA

- 4. Discrete dynamical systems
- 5. Immediate properties
- 6. Dynamical properties





Definition A **SDS** is a SDD (X, F) where X is a **subshift**.

 $x \in X$ is **periodic** with period *n* if $F^n(x) = x$.

 $x \in X$ is **ultimately periodic** with transitory m if $F^m(x)$ is periodic.

Definition $Y \subseteq X$ is invariant if $F(Y) \subseteq Y$.

Definition $Y \subseteq X$ is strongly invariant if F(Y) = Y.



Definition The **limit set** of an invariant clopen $V \subseteq X$ is

$$\Lambda_F(V) = \bigcap_{n \in \mathbb{N}} F^n(V)$$

Definition An **attractor** is the limit set of a non-empty invariant clopen.

Definition The **bassin** of an attractor *Y* is

$$\mathcal{B}_F(Y) = \left\{ x \in X \left| \lim_{n \to \infty} \delta(F^n(x), Y) = 0 \right\} \right\}$$

Definition A **minimal attractor** is an attractor with no strict subset which is also an attractor.

6. Dynamical properties



Definition The limit set of a CA $(S^{\mathbb{Z}^d}, F)$ is the set of configurations than can appear at all time:

$$\Lambda_F = \bigcap_{n \in \mathbb{N}} F^n\left(S^{\mathbb{Z}^d}\right)$$

Proposition The limit set is a non empty subshift.

 $F^{n}\left(S^{\mathbb{Z}^{d}}\right)$ is a non empty subshift and $F^{n+1}\left(S^{\mathbb{Z}^{d}}\right) \subseteq F^{n}\left(S^{\mathbb{Z}^{d}}\right)$.

Proposition The limit set is the maximal attractor.


Definition A biinfinite space-time diagram $\Delta \in S^{\mathbb{Z}^{d+1}}$ satisfies:

$$\forall t \in \mathbb{Z}, \quad \Delta(t+1) = F(\Delta(t))$$
.

Proposition The **limit set** is the set of configurations of **biinfinite space-time diagrams**.

Every element x of the limit set admits an infinite chain of predecessors $x = x_0$, $x_0 = F(x_1)$, ..., $x_n = F(x_{n+1})$, ...

Nilpotency



Definition A CA with quiescent state q is **nilpotent** if every configuration converges in finite time to the q-monochromatic configuration.



Proposition A CA is **nilpotent** if and only if its limit set is a **singleton**.



٠

Let F be the 1D CA with radius 1 and local rule

$$f(x, y, z) = \begin{cases} 1 & \text{si } (x, y, z) = (1, 0, 0) \\ 0 & \text{sinon} \end{cases}$$



$$\Lambda_F = F\left(S^{\mathbb{Z}}\right) = S_{\{11,101\}}$$



Let F be the 1D CA with radius 1 and local rule

$$f(x, y, z) = \max(x, y, z) \quad .$$



$$\Lambda_F = {}^{\omega}0^{\omega} + {}^{\omega}1^{\omega} + {}^{\omega}01^{\omega} + {}^{\omega}10^{\omega} + {}^{\omega}10^*1^{\omega}$$

$$\Lambda_F = S_{\{01^n 0 \mid n \in \mathbb{N}\}}$$

 Λ_F is **countable** and **not SFT**.



Let F be the 1D CA with radius 1 and local rule

$$f(x, y, z) = \operatorname{maj}(x, y, z)$$
.



Exercise What is Λ_F ?

Hint Consider $01 \cdot 00^+ (11^+00^+)^* 00^+ \cdot 10$.



Proposition For every CA,
$$L(\Lambda_F) = \bigcap_{n \in \mathbb{N}} L\left(F^n\left(S^{\mathbb{Z}^d}\right)\right)$$
.

Corollary If
$$\Lambda_F$$
 is a **SFT** then $\exists n \Lambda_F = F^n(S^{\mathbb{Z}^d})$.

Consider the first time of appearance of each minimal forbidden word.

Proposition If
$$\Lambda_F = F^n(S^{\mathbb{Z}^d})$$
 then Λ_F is **sofic**.

If F is a CA, so is F^n .



Proposition[CPY89] If Λ_F contains two distinct elements then it contains a **non spatially periodic** element.

Corollary A **limit set** is either a **singleton** either an **infinite** set.



Proposition $L(\Lambda_F)$ is **co-recursively enumerable**.

Orphans of F^n can be tested thus enumerated.

Proposition[Hurd90] For every co-recursively enumerable language $L \subseteq \Sigma^*$ there exists a CA *F*, a rational language $R \subseteq S^*$ and a morphism $\varphi : S^* \to \Sigma^*$ such that

 $\varphi(L(\Lambda_F) \cap R) = L$.

Corollary There exists CA with **non recursive limit sets**.



Proposition[Hurd87] There exists a 1D CA whose limit set has a **non rational context free** language.

Exercise Build one!

Hint Consider bouncing particles and walls.



Proposition[Hurd87] There exists a 1D CA whose limit set has a **non context free context sensitive** language.

Exercise Build one!

Hint Complexify previous example.



Proposition There exists a 2D CA whose limit set has a **non recursive** language.

Exercise Build one!

Hint Consider space-time diagrams of Turing machines.



Proposition[CPY89] There exists a 1D CA whose limit set has a **non recursive** language.

Exercise Build one!

Hint Consider a CA that can simulate every CA.



Notation F_{Λ} is the restriction of *F* to Λ_F .

Proposition F_{Λ} is **surjective**.

Proposition If $\forall n \Lambda_F \neq F^n(S^{\mathbb{Z}^d})$ then

$$\forall n \exists c \quad \forall i < n F^{i}(c) \notin \Lambda_{F} \land F^{n}(c) \in \Lambda_{F}$$

Proposition[Taati 2008] If F_{Λ} is **injective** then $\exists n \Lambda_F = F^n(S^{\mathbb{Z}^d}).$

.



Proposition[Taati 2008] If a CA *F* is injective on its limit set, the limit set is a **SFT** and there exists a CA *G* with the same limit set and such that $G_{\Lambda} = F_{\Lambda}^{-1}$.

Let *H* be a CA such that $H_{\Lambda} = F_{\Lambda}^{-1}$ and let $G = H^{n+1} \circ F^n$. It holds

$$\Lambda_F = \{ c \, | \, G^n(F^n(x)) = x \}$$



A CA is **stable** if its limit set is obtained in finite time, otherwise it is **unstable**.

A subshift is **stable** if it is the limit set of a stable CA.

A subshift is **unstable** if it is the limit set of an unstable CA.

Open Pb Can a subshift be both **stable** and **unstable**?

Part III

Computation and reduction: undecidability results

Part III Computation and reduction: undecidability results

7. Tilings

8. Undecidability results in 2D

9. Undecidability results in 1D



The Domino Problem (DP)



"Assume we are given a finite set of square plates of the same size with edges colored, each in a different manner. Suppose further there are infinitely many copies of each plate (plate type). We are not permitted to rotate or reflect a plate. The question is to find an effective procedure by which we can decide, for each given finite set of plates, whether we can cover up the whole plane (or, equivalently, an infinite quadrant thereof) with copies of the plates subject to the restriction that adjoining edges must have the same color."

(Wang, 1961)









Finite tiling





Tiling with diagonal constraint









Theorem[Berger64] DP is recursively undecidable.

Remark To prove it one needs **aperiodic** tile sets.

Idea of the proof

Enforce an (aperiodic) **self-similar structure** using local rules.

Insert a **Turing machine** computation **everywhere** using the structure.

Remark Plenty of different proofs!





Part III Computation and reduction: undecidability results

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The nilpotency problem (Nil)

Definition A DDS is **nilpotent** if $\exists z \in X, \forall x \in X, \exists n \in \mathbb{N}, F^n(x) = z.$

Given a recursive encoding of the DDS, can we **decide** nilpotency?

A DDS is **uniformly nilpotent** if $\exists z \in X, \exists n \in \mathbb{N}, \forall x \in X, F^n(x) = z.$

Given a recursive encoding of the DDS, can we **bound recursively** n?





Definition The **limit set** of a CA *F* is the non-empty subshift

$$\Lambda_F = \bigcap_{n \in \mathbb{N}} F^n\left(S^{\mathbb{Z}}\right)$$

Remark Λ_F is the set of configurations appearing in **biinfinite space-time diagrams** $\Delta \in S^{\mathbb{Z} \times \mathbb{Z}}$ such that $\forall t \in \mathbb{Z}, \Delta(t+1) = F(\Delta(t)).$

Lemma A CA is nilpotent iff its limit set is a singleton.



2D Nilpotency Input: a CA (S, N, f). **Question:** Is *F* nilpotent?

Theorem[CPY89] Nilpotency is undecidable in 2D.

Prove than $\overline{\mathbf{DP}} \leq_m \mathbf{Nil2D}$.

Given a set of Wang tiles τ , build a CA with alphabet $\tau \cup \{\bot\}$ where \bot is a spreading tiling error state.

Surjectivity/Injectivity 2D



Theorem[Kari 1990] Both injectivity and surjectivity are **undecidable** in 2D.

For surjectivity, using Moore-Myhill, prove that injectivity on finite is undecidable in 2D.



Part III Computation and reduction: undecidability results

7. Tilings

8. Undecidability results in 2D

9. Undecidability results in 1D



Nilpotency 1D



A state $\bot \in S$ is spreading if $f(N) = \bot$ when $\bot \in N$.

A CA with a spreading state \perp is not nilpotent iff it admits a biinfinite space-time diagram without \perp .

A tiling problem Find a coloring $\Delta \in (S \setminus \{\bot\})^{\mathbb{Z}^2}$ satisfying the tiling constraints given by f.



Theorem[Kari92] NW-**DP** \leq_m Nil

9. Undecidability results in 1D



Theorem[Kari92] NW-DP is recursively undecidable.

Remark Reprove of undecidability of **DP** with the additionnal determinism constraint!

Corollary Nil is **recursively undecidable**.



Theorem[Kari 1994] The set of CA whose limit sets satisfy a non trivial property is never recursive.

Theorem[Guillon Richard 2010] Still true with a fixed alphabet!

Definition A CA F is weakly nilpotent if

$$\forall c \forall p \exists t_0 \forall t > t_0 \quad F^t(c)_p = q \quad .$$

Theorem[Guillon Richard 2008] A CA is **weakly nilpotent** if and only if it is **nilpotent**.

The periodicity problem (Per)



Definition A DDS is **periodic** if $\forall x \in X, \exists n \in \mathbb{N}, F^n(x) = x.$

Given a recursive encoding of the DDS, can we **decide** periodicity?

A DDS is **uniformly periodic** if $\exists n \in \mathbb{N}, \forall x \in X, F^n(x) = x.$

Given a recursive encoding of the DDS, can we **bound recursively** n?





Theorem Both **Nil** and **Per** are **recursively undecidable**.

The proofs inject computation into dynamics.

Undecidability is not necessarily a negative result: it is a hint of complexity.

Remark Due to **universe configurations** both nilpotency and periodicity are uniform.

The bounds grow **faster than any recursive function**: there exists simple nilpotent or periodic CA with huge bounds.



" (T_2) To find an effective method, which for every Turing-machine M decides whether or not, for all tapes I (finite and infinite) and all states B, M will eventually halt if started in state B on tape I" (Büchi, 1962)

Theorem[Hooper66] IP is recursively undecidable.

Theorem[KO2008] **R-IP** \leq_m **TM-Per** \leq_m **Per**

Theorem[KO2008] R-IP is recursively undecidable.

Open Problem



Definition A CA *F* is **positively expansive** if

 $\exists \varepsilon > 0, \, \forall x \neq y, \, \exists n \geq 0, \, d\left(F^n(x), F^n(y)\right) \geq \varepsilon$



Question Is positive expansivity decidable?

9. Undecidability results in 1D
Table of Contents

Part I

- 1. Cellular automata
- 2. A universal model of computation
- 3. A model of parallel computation

Part II

- 4. Discrete dynamical systems
- 5. Immediate properties
- 6. Dynamical properties

Part III

- 7. Tilings
- 8. Undecidability results in 2D
- 9. Undecidability results in 1D