

**A**

# **Small Minimal Aperiodic Reversible Turing machine**

(hal-00975244)

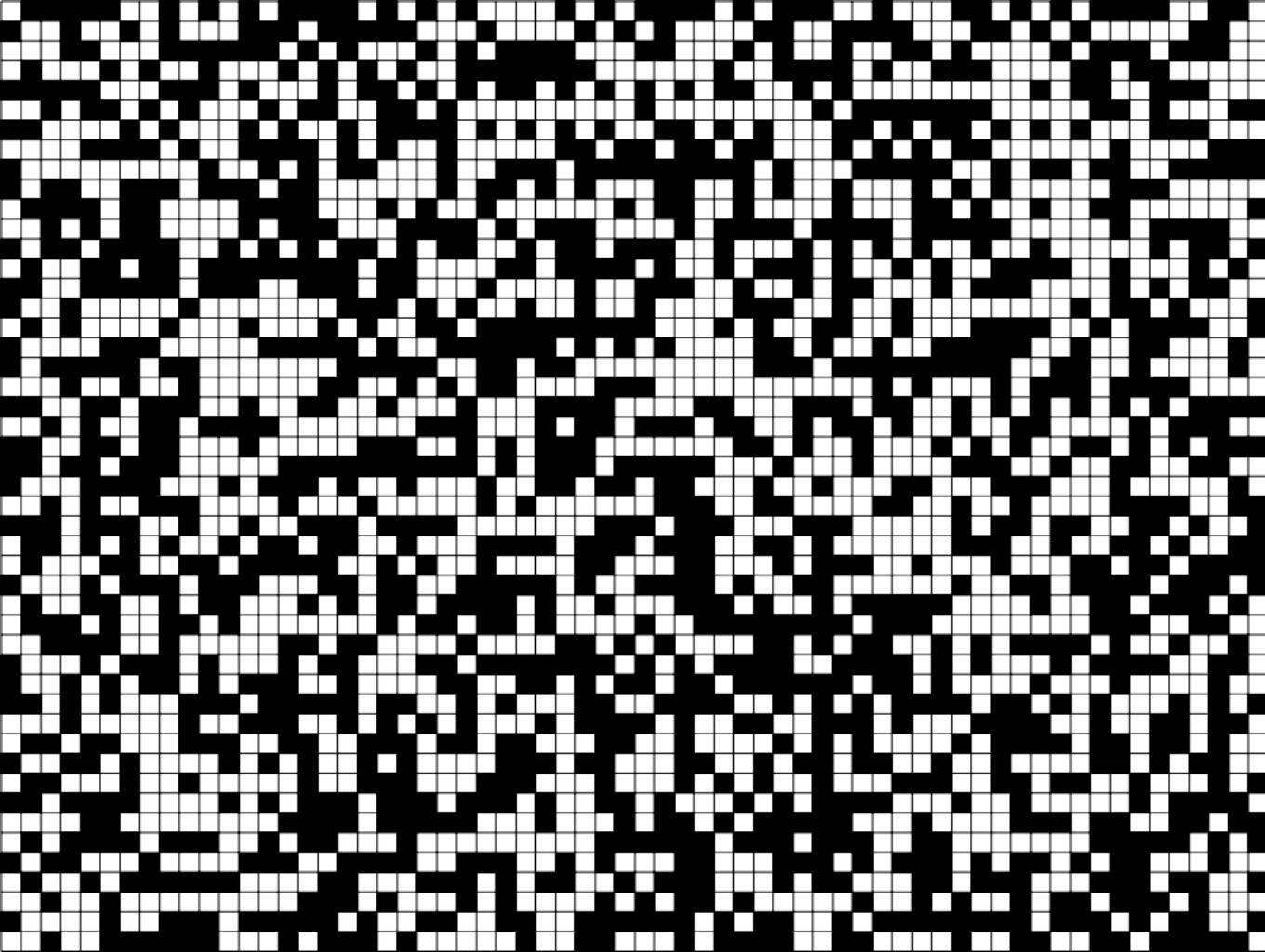
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**JIRC — April 15th, 2014**





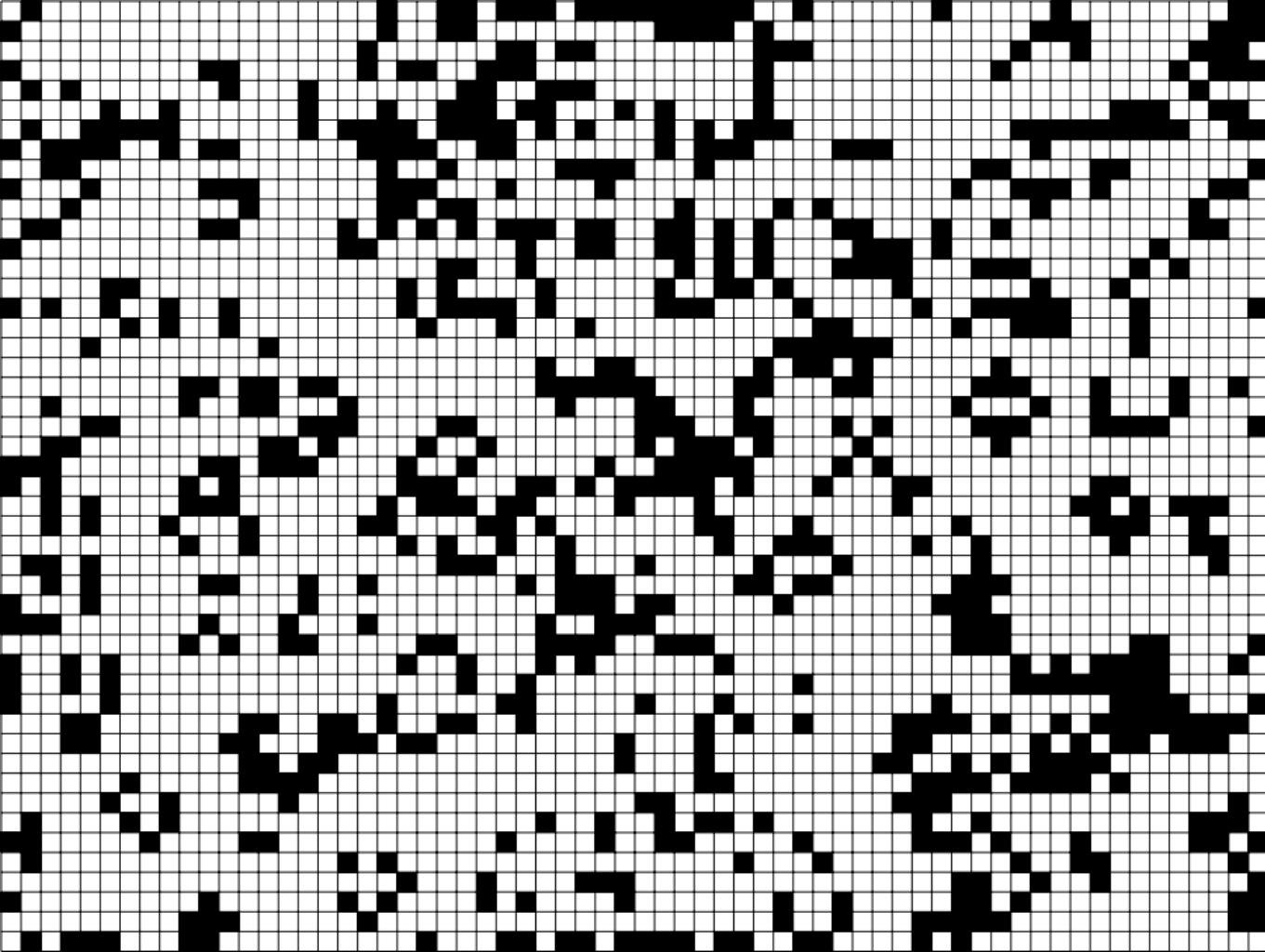


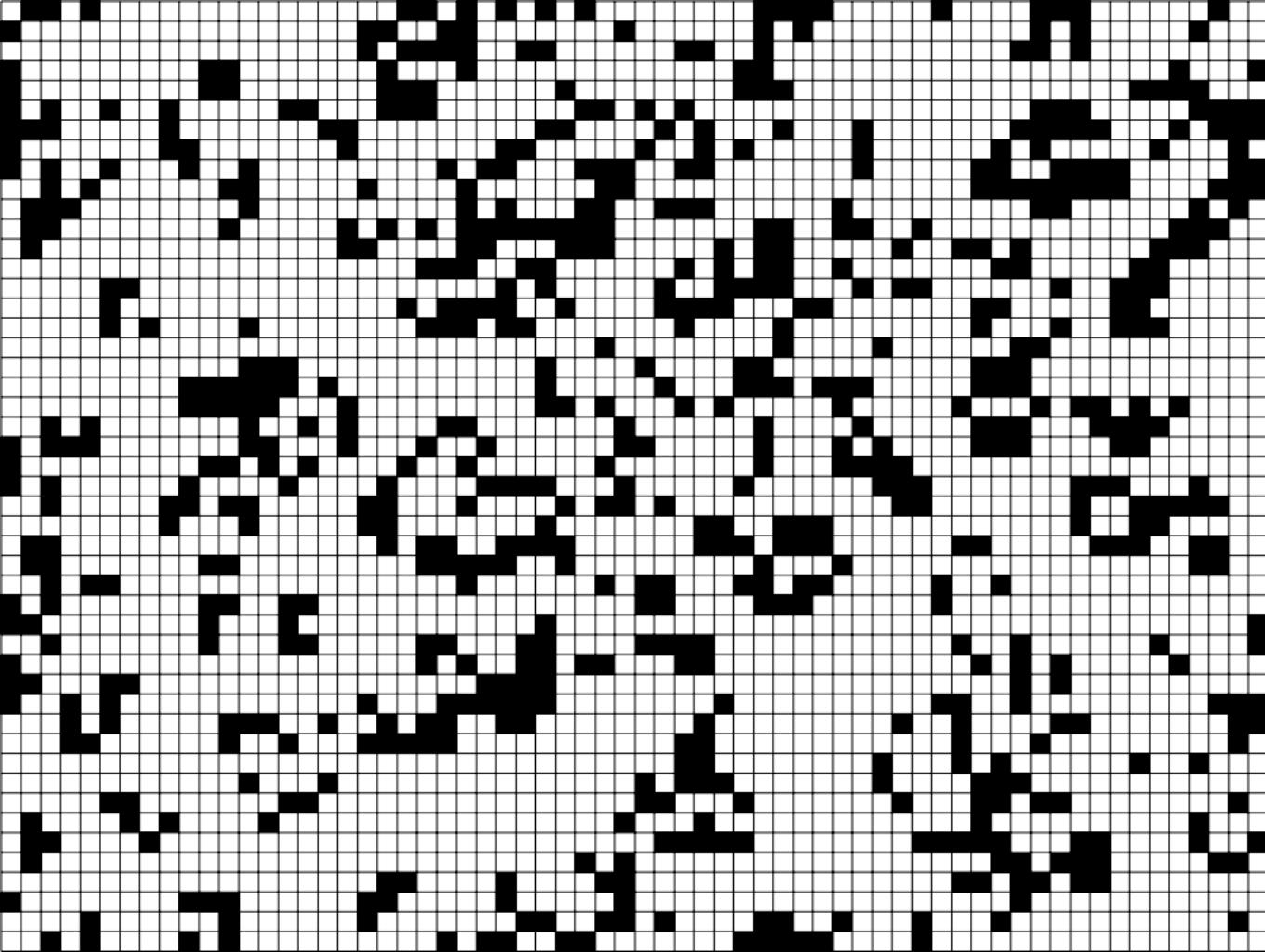


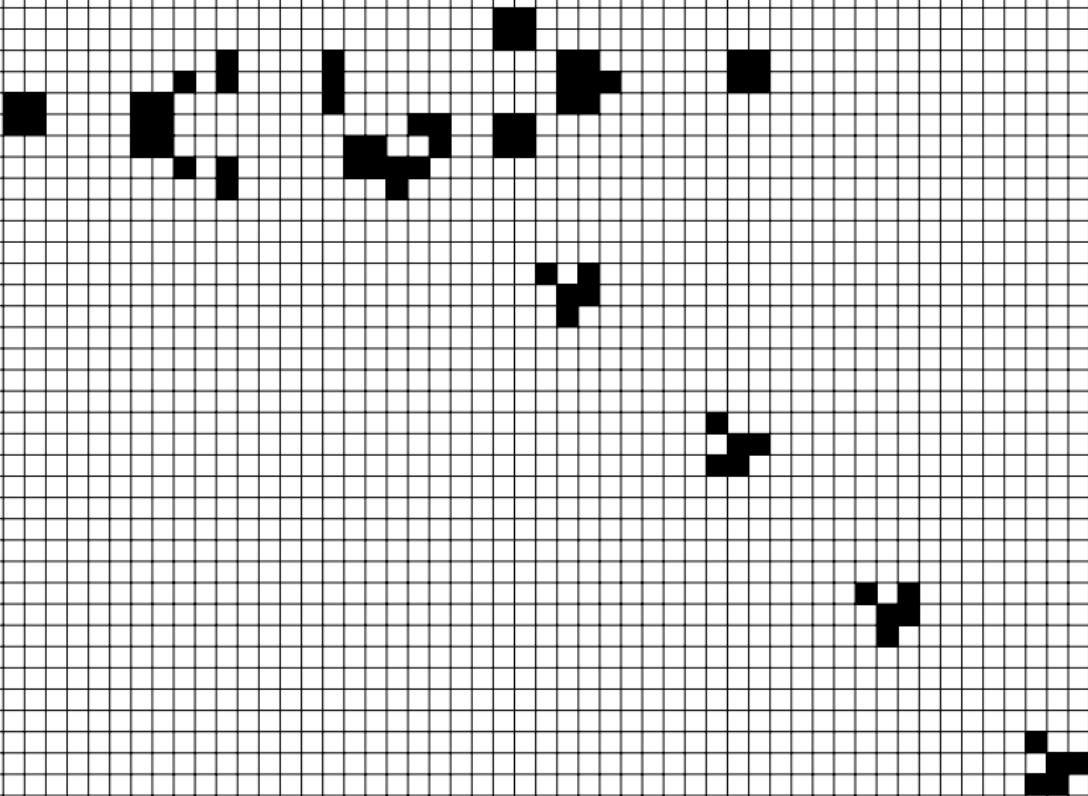


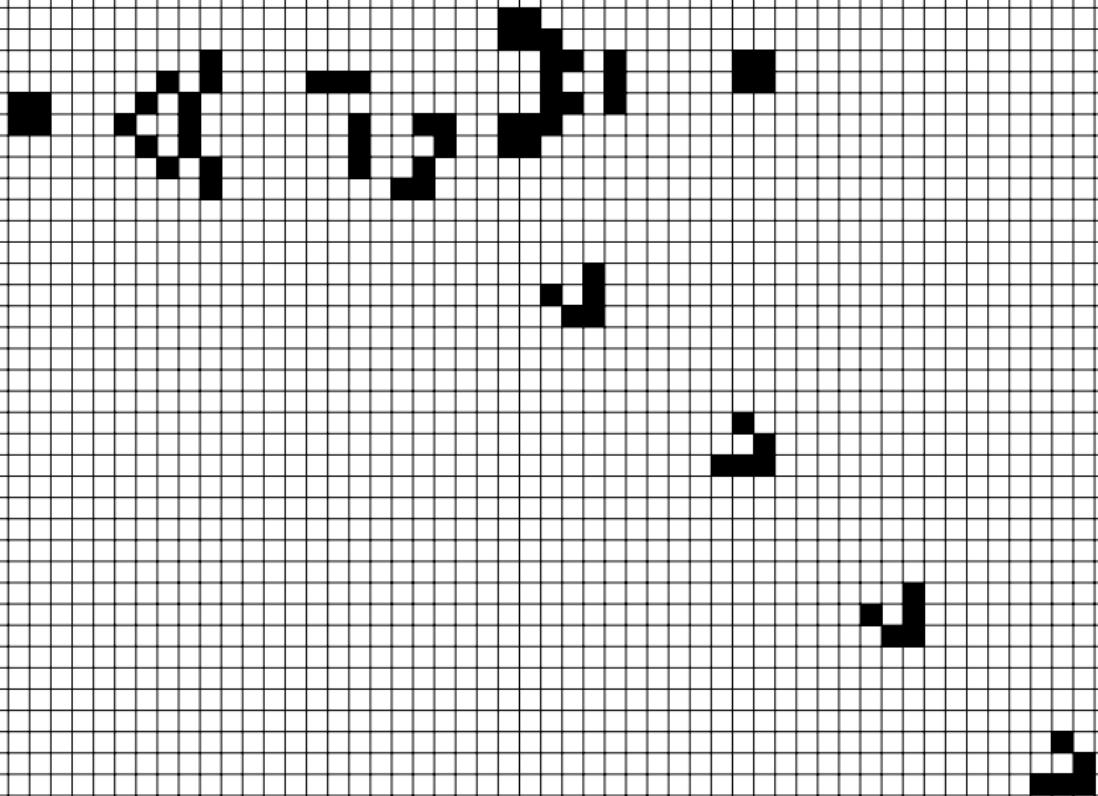


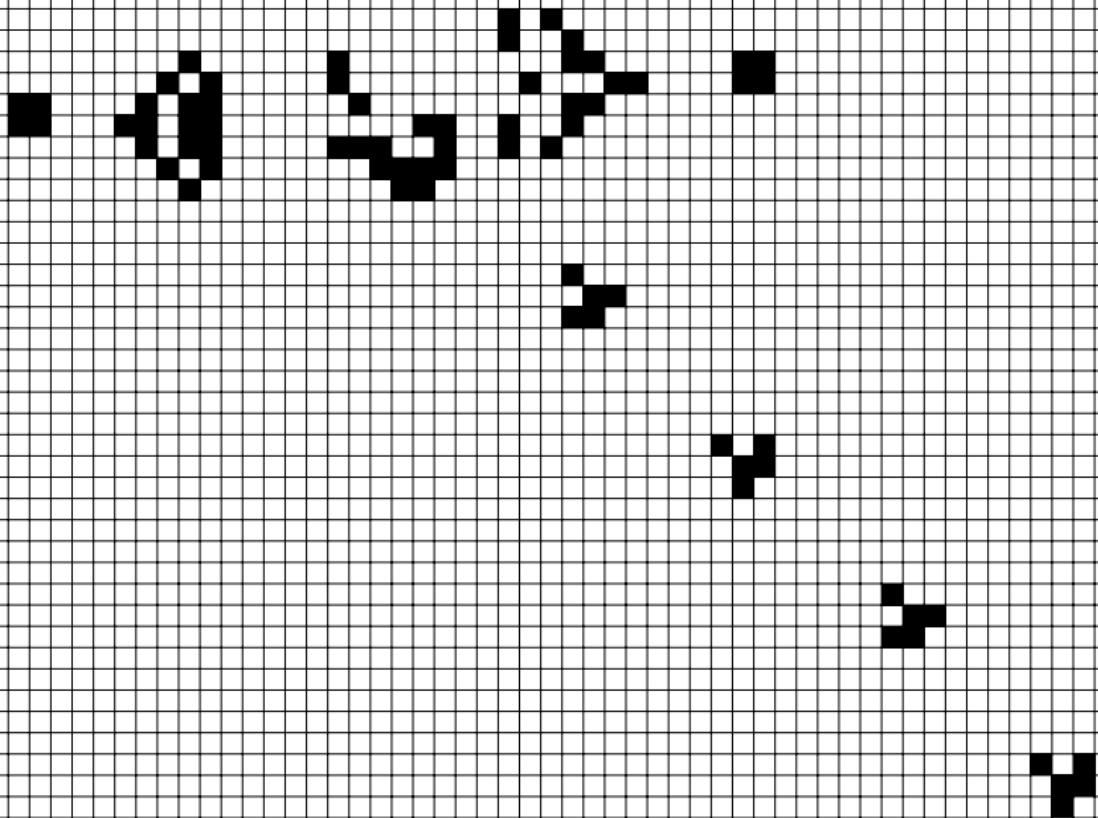


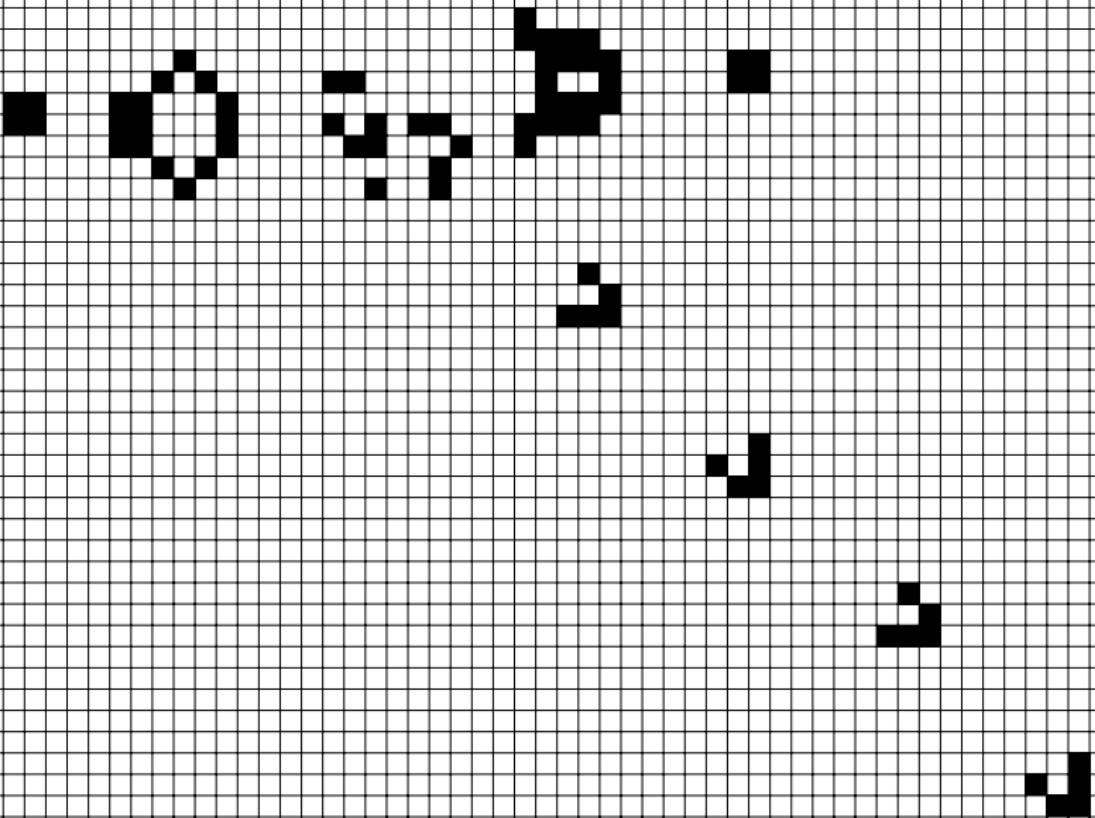


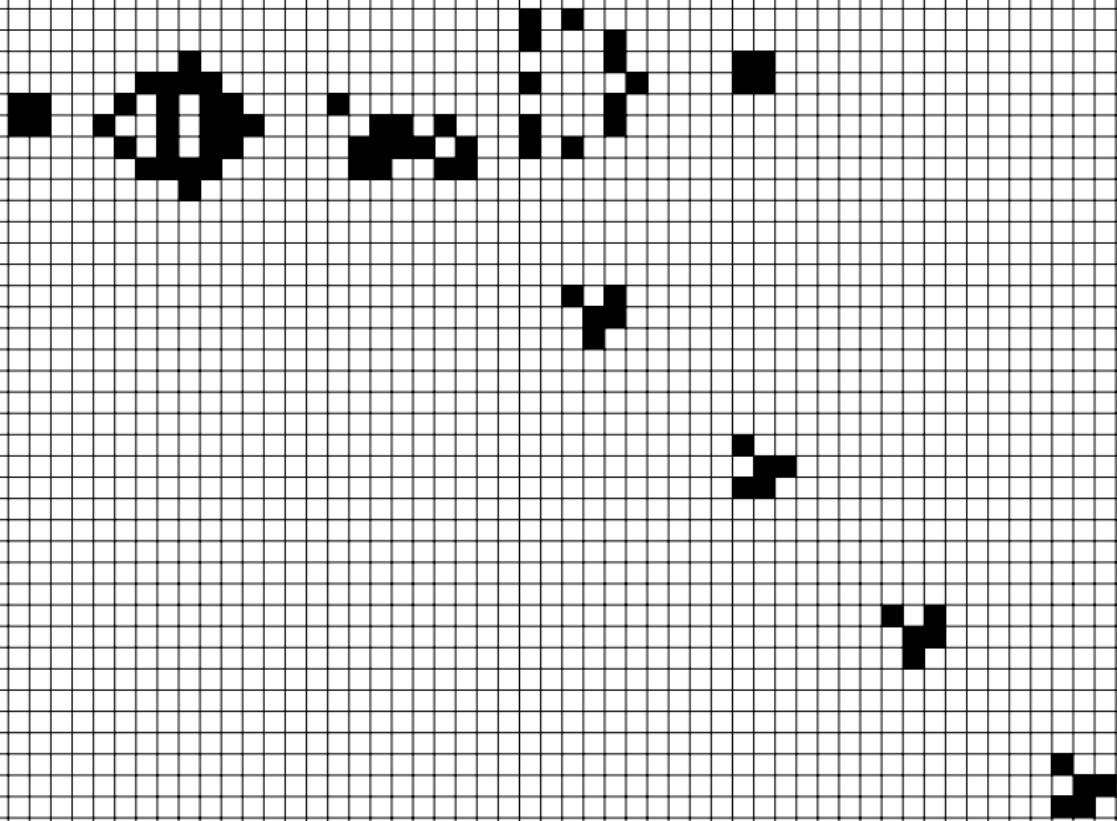


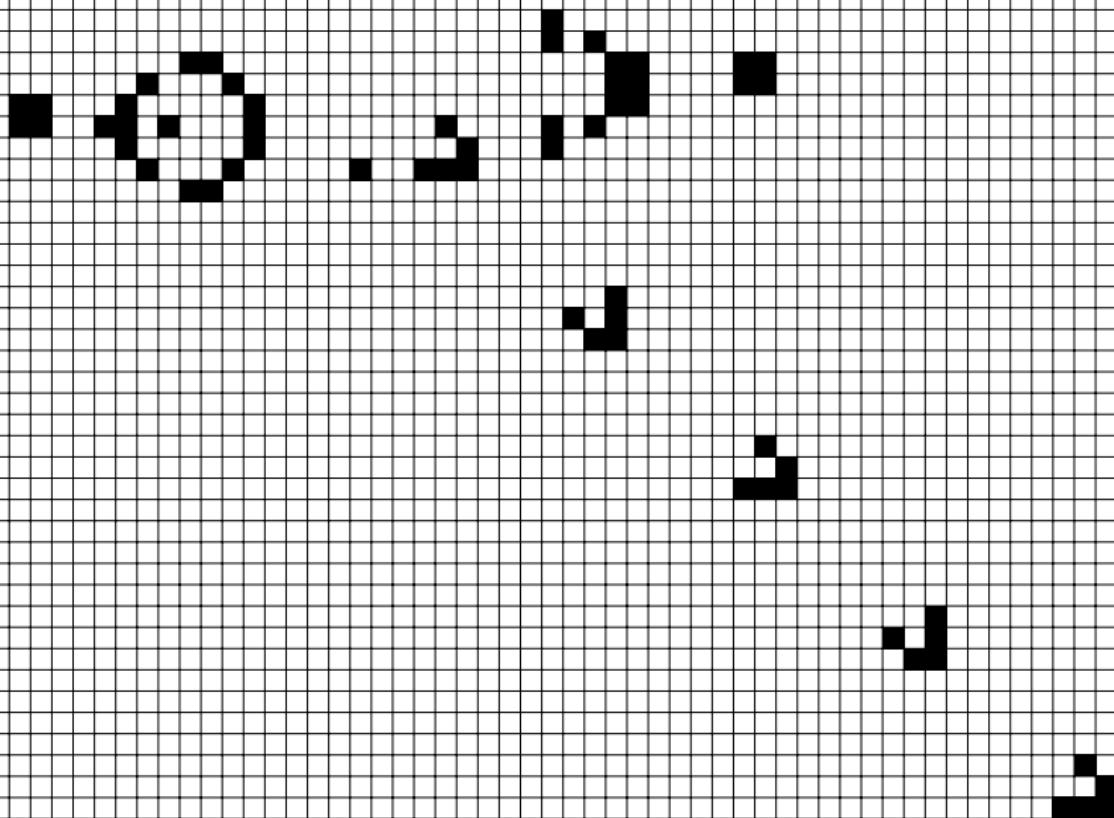


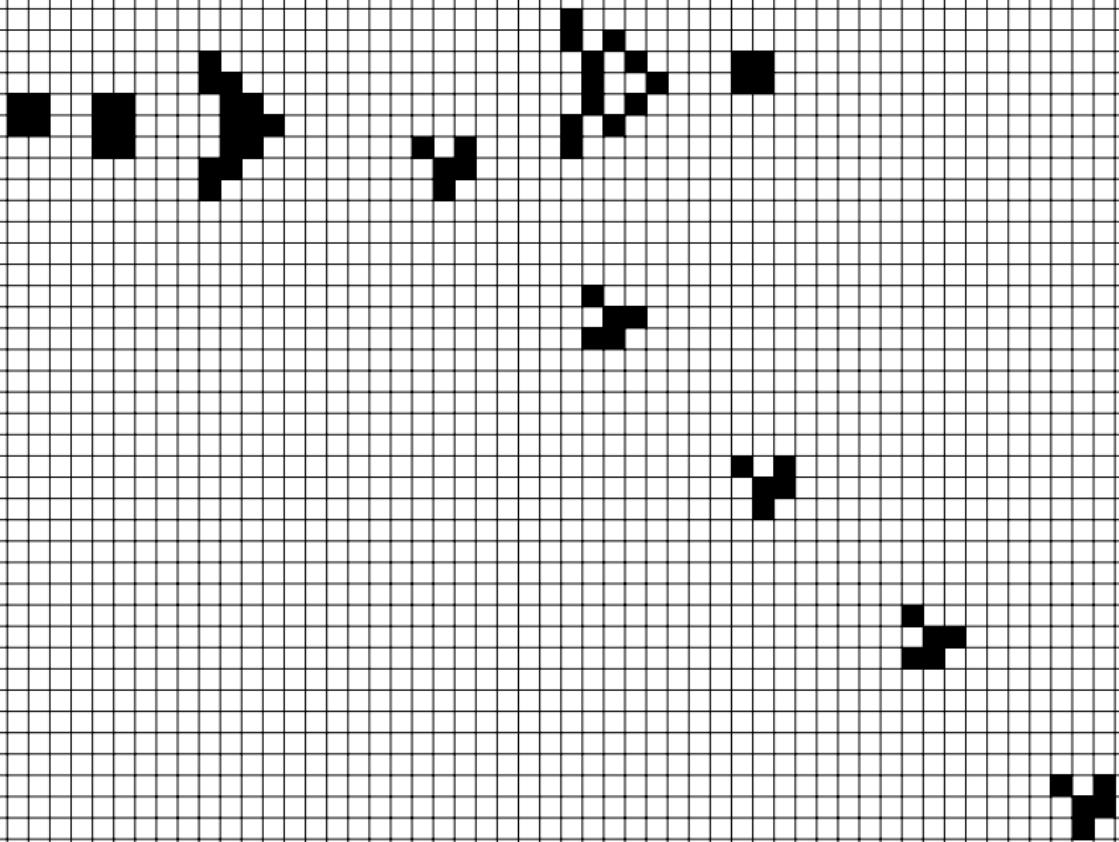


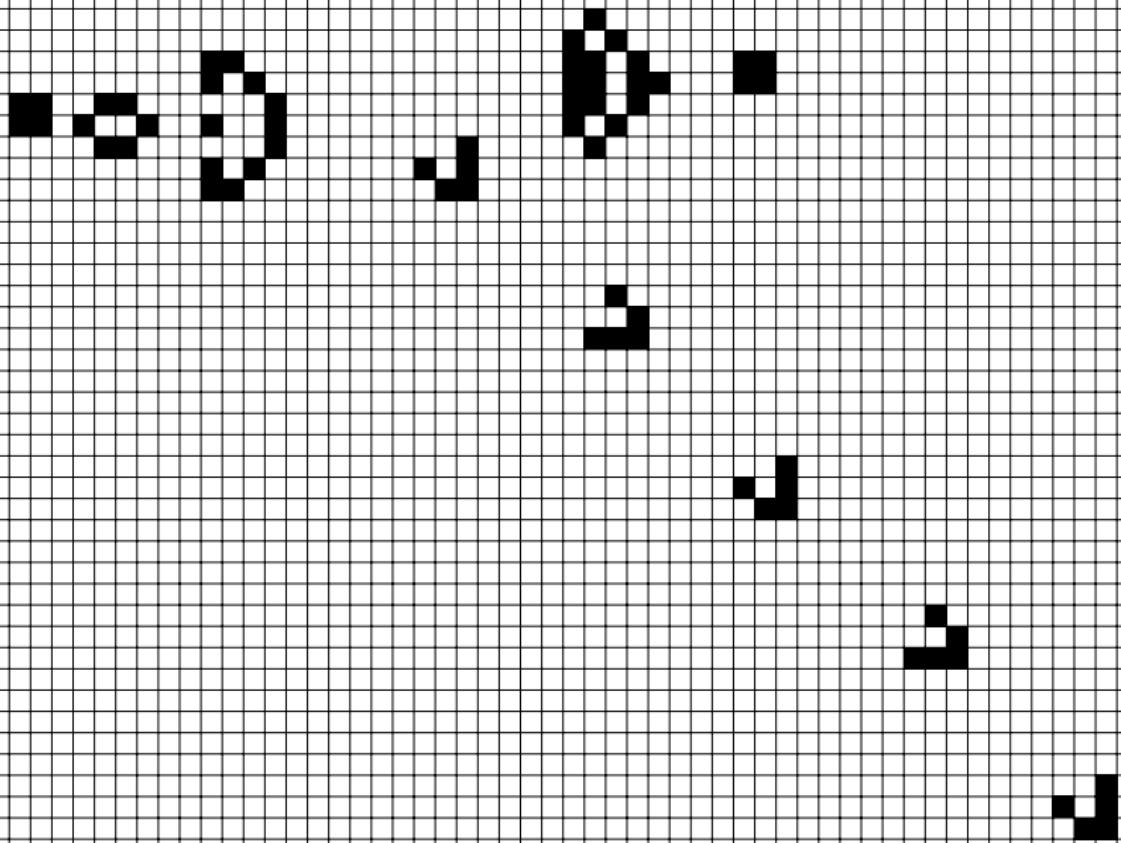


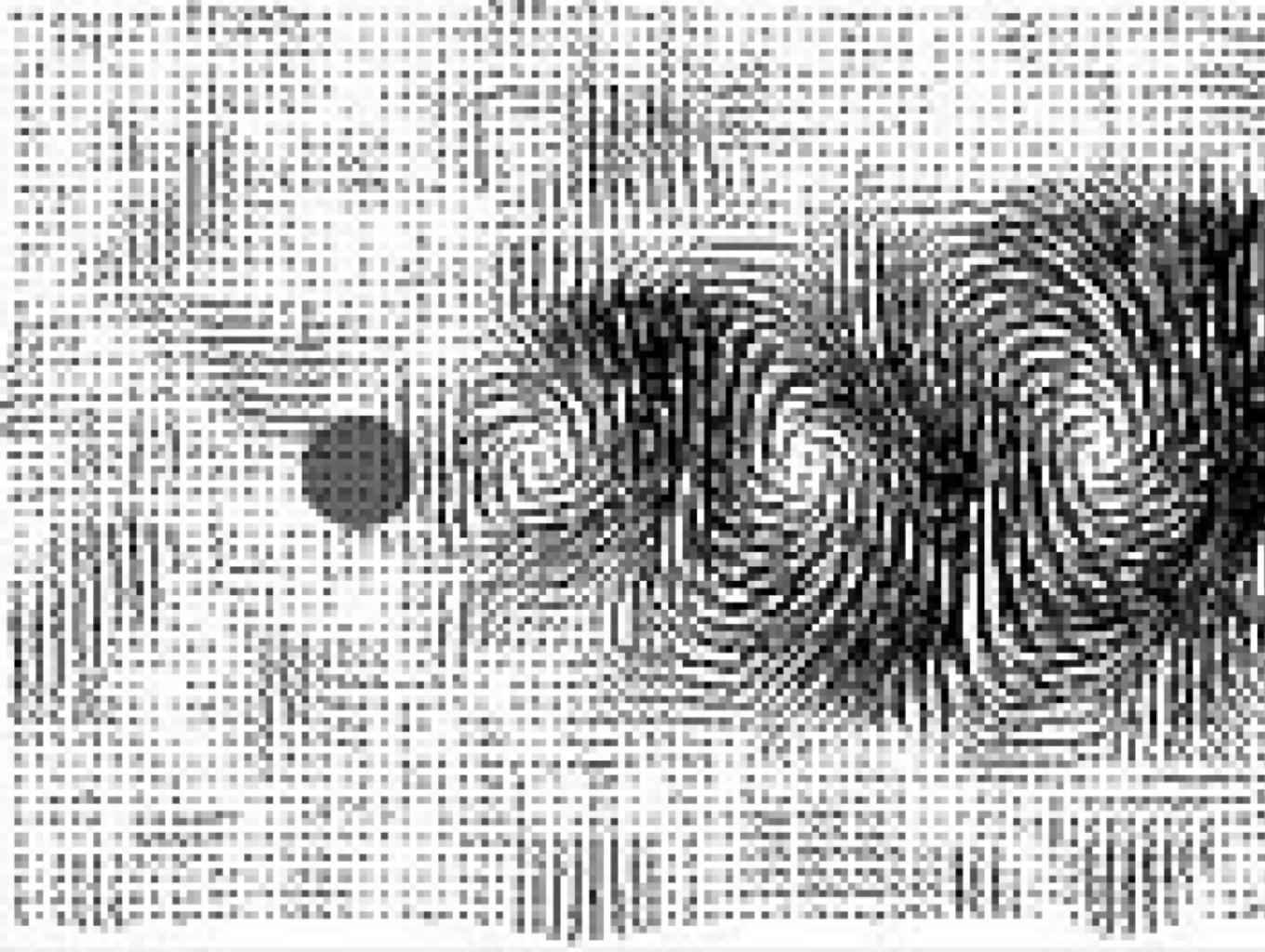






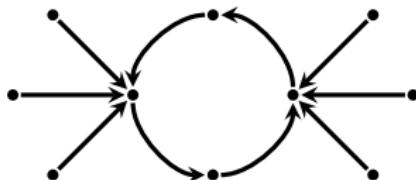
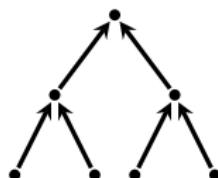






# Discrete dynamical systems

**Definition** A **DDS** is a pair  $(X, F)$  where  $X$  is a topological space and  $F : X \rightarrow X$  is a continuous map.



**Definition** The **orbit** of  $x \in X$  is the sequence  $(F^n(x))$  obtained by iterating  $F$ .

In this talk,  $X = S^{\mathbb{Z}}$  or  $Q \times S^{\mathbb{Z}}$  and is endowed with the **Cantor topology** (product of the discrete topology on  $S$ ), and  $F$  is a continuous map **invariant by translation**.

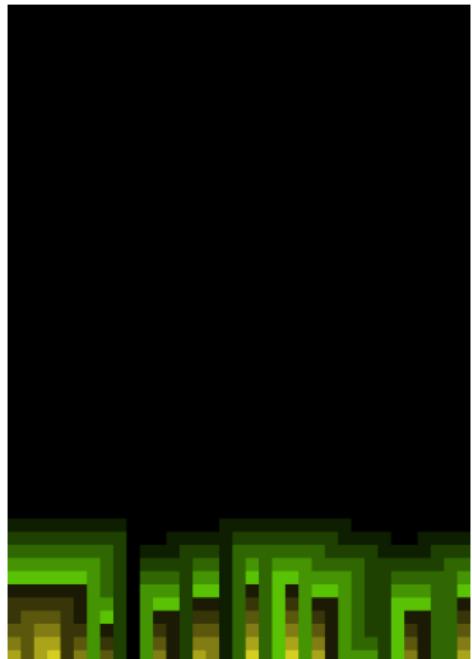
# The nilpotency problem (Nil)

**Definition** A DDS is **nilpotent** if  
 $\exists z \in X, \forall x \in X, \exists n \in \mathbb{N}, F^n(x) = z.$

Given a recursive encoding of the DDS, can we **decide** nilpotency?

A DDS is **uniformly nilpotent** if  
 $\exists z \in X, \exists n \in \mathbb{N}, \forall x \in X, F^n(x) = z.$

Given a recursive encoding of the DDS, can we **bound recursively**  $n$ ?



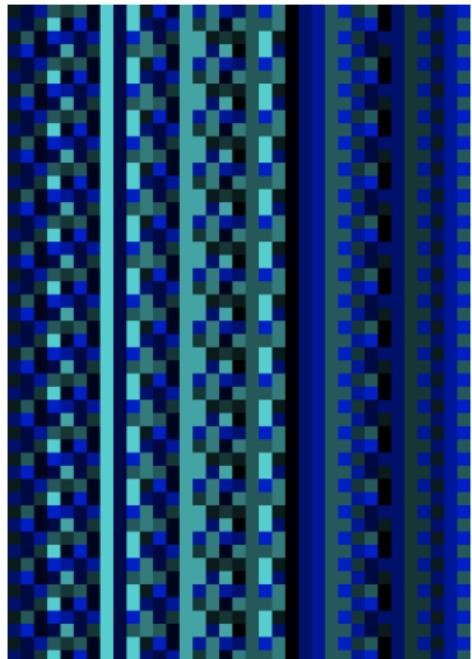
# The periodicity problem (Per)

**Definition** A DDS is **periodic** if  
 $\forall x \in X, \exists n \in \mathbb{N}, F^n(x) = x.$

Given a recursive encoding of the DDS, can we **decide** periodicity?

A DDS is **uniformly periodic** if  
 $\exists n \in \mathbb{N}, \forall x \in X, F^n(x) = x.$

Given a recursive encoding of the DDS, can we **bound recursively**  $n$ ?



# Cellular automata

**Definition** A **CA** is a triple  $(S, r, f)$  where  $S$  is a **finite set of states**,  $r \in \mathbb{N}$  is the **radius** and  $f : S^{2r+1} \rightarrow S$  is the **local rule** of the cellular automaton.

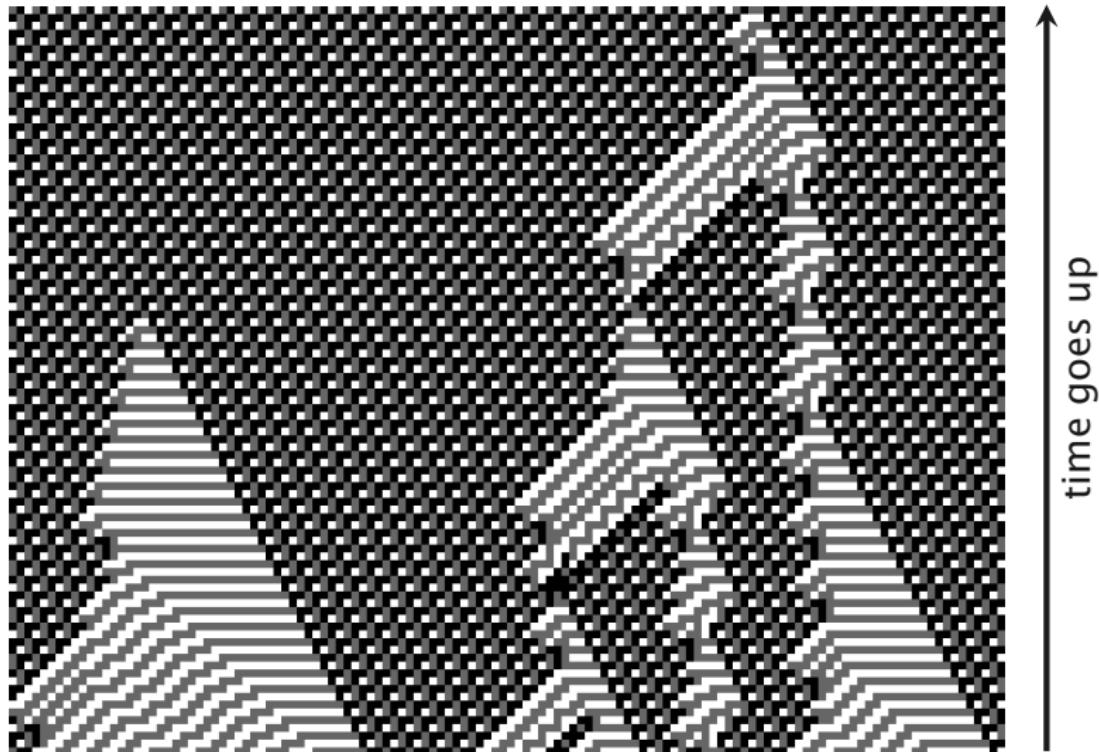
A **configuration**  $c \in S^{\mathbb{Z}}$  is a coloring of  $\mathbb{Z}$  by  $S$ .



The **global map**  $F : S^{\mathbb{Z}} \rightarrow S^{\mathbb{Z}}$  applies  $f$  uniformly and locally:

$$\forall c \in S^{\mathbb{Z}}, \forall z \in \mathbb{Z}, \quad F(c)(z) = f(c(z - r), \dots, c(z + r)).$$

# Space-time diagram



$$S = \{0, 1, 2\}, r = 1, f(x, y, z) = \lfloor 6450288690466/3^{9x+3y+z} \rfloor \pmod{3}$$

# Undecidability results

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**Theorem** Both **Nil** and **Per** are **recursively undecidable**.

The proofs inject **computation** into **dynamics**.

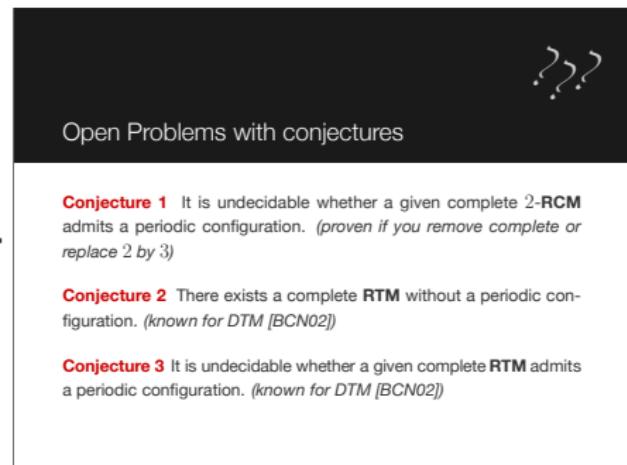
Undecidability is not necessarily a negative result:  
it is a **hint of complexity**.

**Remark** Due to **universe configurations** both nilpotency  
and periodicity are uniform.

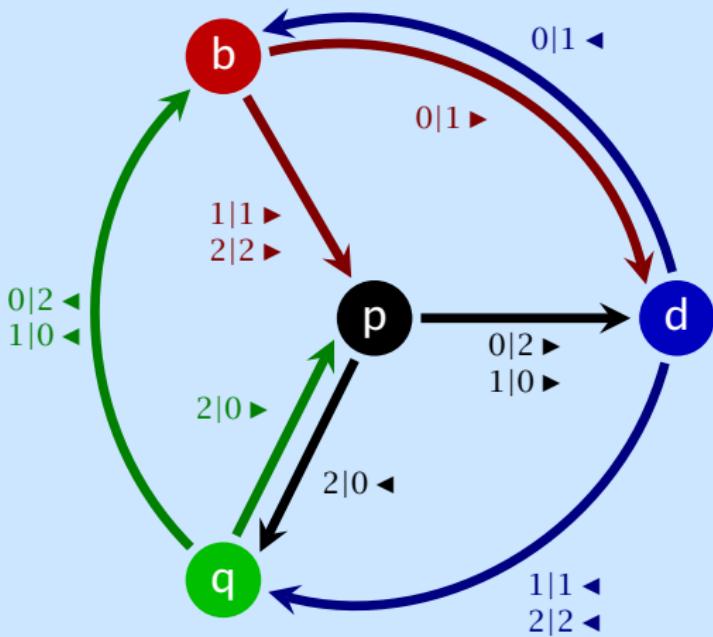
The bounds grow **faster than any recursive function**: there  
exists simple nilpotent or periodic CA with huge bounds.

# Motivation for today

Solve a conjecture that we had with J. Kari a few years ago:



**Theorem** To find if a given **complete reversible Turing machine** admits a **periodic orbit** is  $\Sigma_1$ -complete.



# 1. Dynamics of Turing machines

# Turing machines

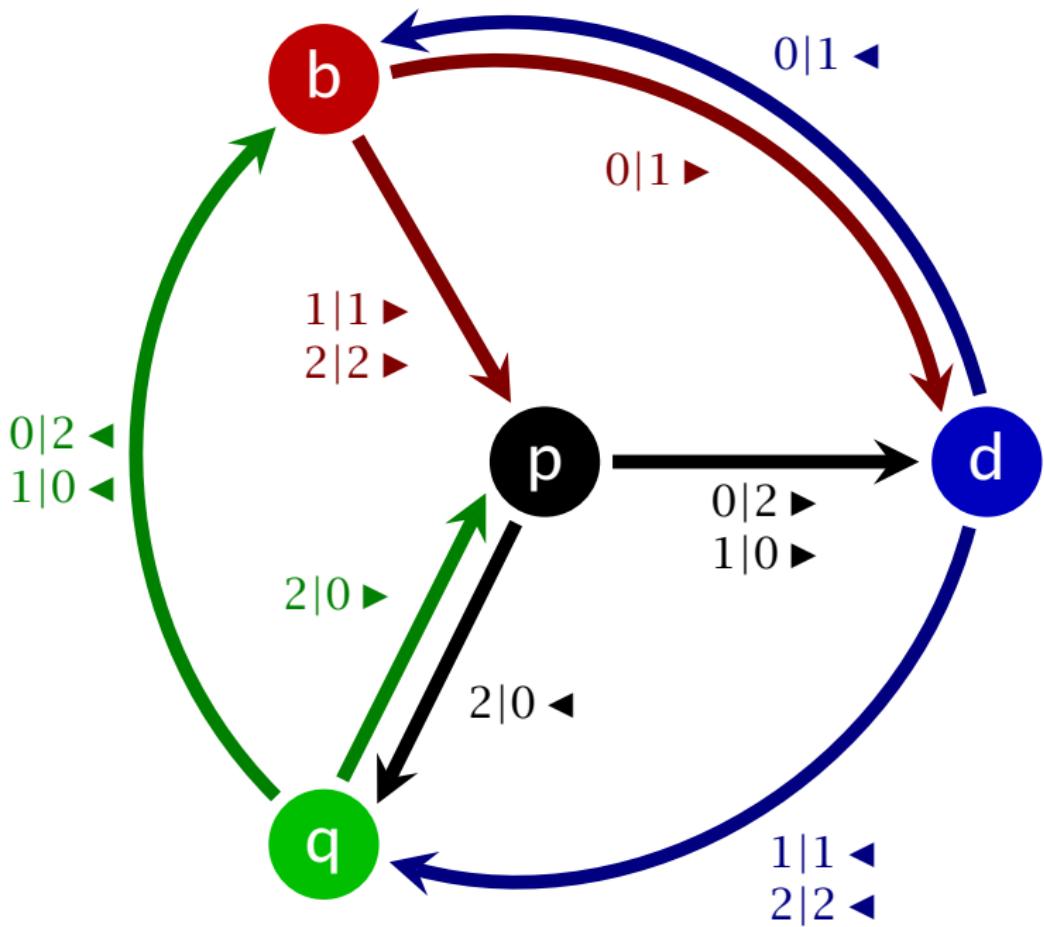
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**Definition** A **Turing machine** is a triple  $(Q, \Sigma, \delta)$  where  $Q$  is the finite set of states,  $\Sigma$  is the finite set of tape symbols and  $\delta : Q \times \Sigma \rightarrow Q \times \Sigma \times \{\leftarrow, \rightarrow\}$  is the transition function.

Transition  $\delta(s, a) = (t, b, d)$  means:

*"in state s, when reading the symbol a on the tape,  
replace it by b move the head in direction d and enter state t."*

**Remark** We do not care about blank symbol or initial and final states, we see Turing machines as dynamical systems.



# Moving head dynamics

$$X_H = Q \times \mathbb{Z} \times \Sigma^{\mathbb{Z}} \cup \Sigma^{\mathbb{Z}}$$

$$T_H : X_H \rightarrow X_H$$

... 000000**b**000000000 ...  
... 0000001**d**000000000 ...  
... 000000**b**110000000 ...  
... 0000001**p**100000000 ...  
... 00000010**d**000000000 ...  
... 0000001**b**010000000 ...  
... 00000011**d**100000000 ...  
... 0000001**q**110000000 ...  
... 000000**b**101000000 ...  
... 0000001**p**010000000 ...  
...  
:

Hergé. *On a marché sur la lune*. Casterman, 1954.



Long shot

# Moving head dynamics

$$X_H = Q \times \mathbb{Z} \times \Sigma^{\mathbb{Z}} \cup \Sigma^{\mathbb{Z}}$$

$$T_H : X_H \rightarrow X_H$$

...	b	...
...	■ d	...
...	b ■■	...
...	■ p ■	...
...	■ d	...
...	b ■	...
...	■■ d ■	...
...	■ q ■■	...
...	b ■ ■	...
...	■ p ■	...
⋮	⋮	⋮

Hergé. *On a marché sur la lune*. Casterman, 1954.



Long shot

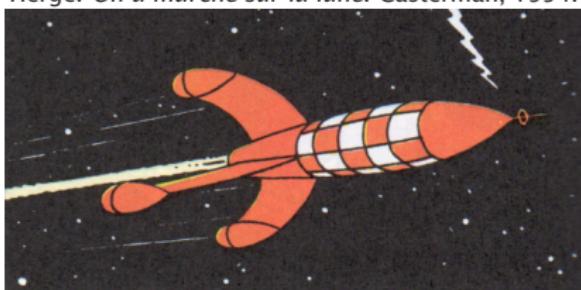
# Moving tape dynamics

$$X_T = Q \times \Sigma^{\mathbb{Z}}$$

$$T_T : X_T \rightarrow X_T$$

... 0000000**b**000000000 ...  
... 0000001**d**000000000 ...  
... 0000000**b**110000000 ...  
... 0000001**p**100000000 ...  
... 0000010**d**000000000 ...  
... 0000001**b**010000000 ...  
... 0000011**d**100000000 ...  
... 0000001**q**110000000 ...  
... 0000000**b**101000000 ...  
... 0000001**p**010000000 ...  
  
:

Hergé. *On a marché sur la lune*. Casterman, 1954.



Tracking shot

# Moving tape dynamics

$$X_T = Q \times \Sigma^{\mathbb{Z}}$$

$$T_T : X_T \rightarrow X_T$$

...

**b**

...

■ **d**

...

**b** ■ ■

...

■ **p** ■

...

■ **d**

...

■ **b** ■

...

■ ■ **d**

...

■ **q** ■ ■

...

**b** ■ ■

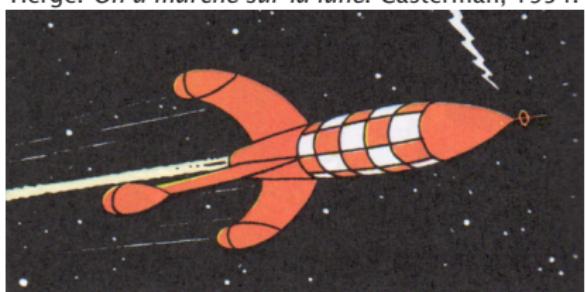
...

■ **p** ■

...

⋮

Hergé. *On a marché sur la lune*. Casterman, 1954.



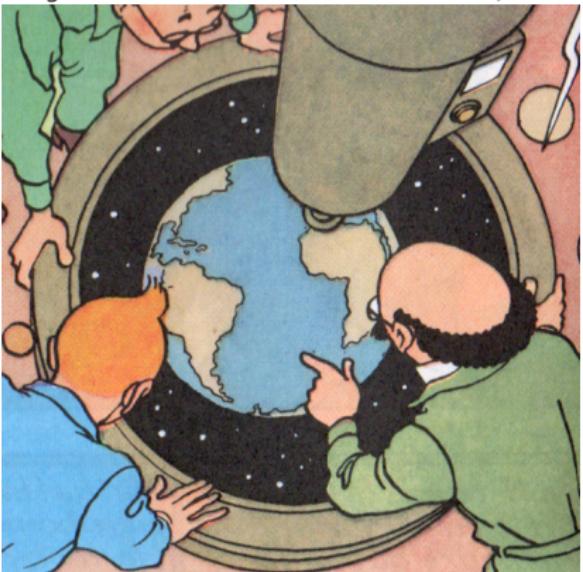
Tracking shot

# Trace subshift

$$S_T \subseteq (Q \times \Sigma)^\omega$$

0 0 1 1 0 0 1 1 1 0 ...  
b d b p d b d q b p ...

Hergé. *On a marché sur la lune*. Casterman, 1954.



Point of view shot

# Simple dynamical properties

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**Definition** A point  $x \in X$  is **periodic** if it admits a **period**  $p > 0$  such that  $T^p(x) = x$ .

**Definition** A machine is **periodic** if every point is periodic.

**Remark** Periodicity implies uniform periodicity:  $T^p = \text{Id}$ .

**Theorem[KO08]** The **periodicity problem** is  $\Sigma_1$ -complete.

**Definition** A machine is **aperiodic** if it has no periodic point.

# Partial vs complete machines

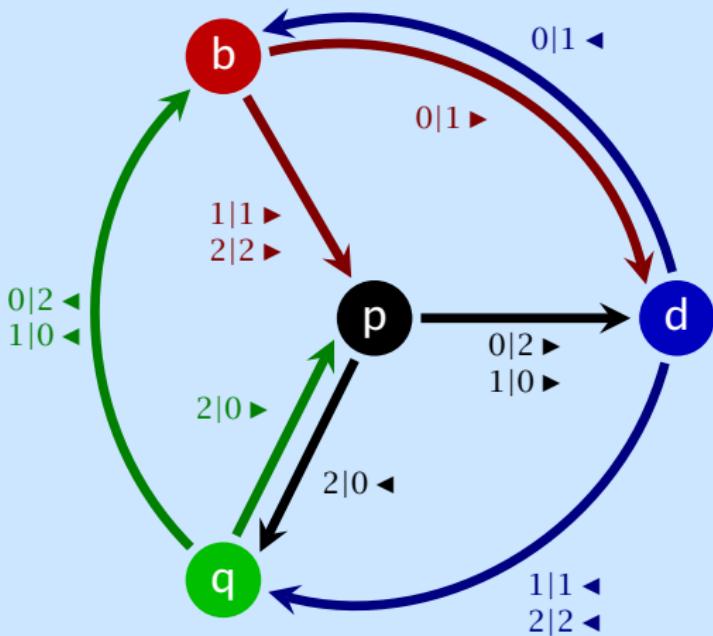
**Definition** A TM is **complete** if  $\delta$  is completely defined, otherwise **undefined transitions** of a partial  $\delta$  correspond to **halting configurations**.

**Definition** A point is **mortal** if it eventually **halts**.

**Thm[Hooper66]** The **immortality problem** is  $\Pi_1$ -complete.

**Rk** **Mortality** is different from **totality** which is  $\Pi_2$ -complete.

**Thm[KO08]** The result is the same for **reversible TM**.



## 2. Reversible Turing machines

# Reversible Turing machines

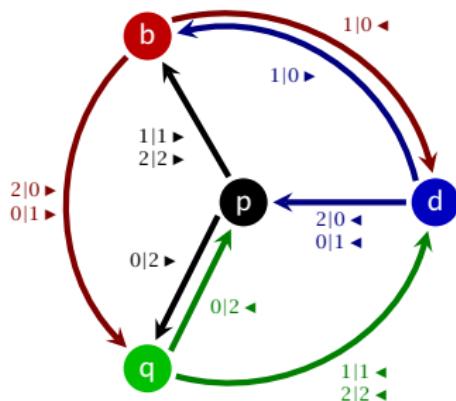
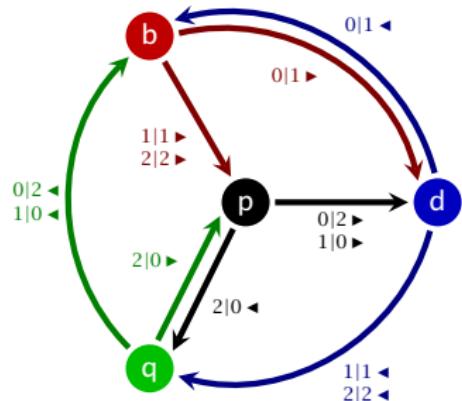
Intuitively, a TM is **reversible** if there exists another TM to compute backwards: " $T_2 = T_1^{-1}$ ". **Forget technical details...**

**Definition** A TM is **reversible** if  $\delta$  can be decomposed as:

$$\begin{aligned}\delta(s, a) &= (t, b, \rho(t)) \quad \text{where } (t, b) = \sigma(s, a) \\ \rho &: Q \rightarrow \{\blacktriangleleft, \triangleright\} \\ \sigma &\in \mathfrak{S}_{Q \times \Sigma}\end{aligned}$$

**Remark**  $\delta^{-1}(t, b) = (s, a, \blacklozenge(\rho(s)))$

# A complete RTM

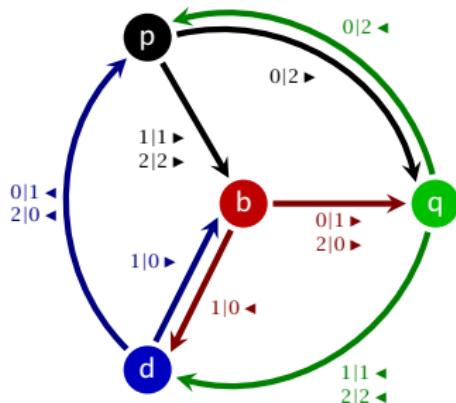


It is **time-symmetric**:  
its own inverse up to  
state/symbol permutation.

$$1 \Leftrightarrow 2$$

$$b \Leftrightarrow p$$

$$d \Leftrightarrow q$$



# Searching for a reduction

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We want to prove the following:

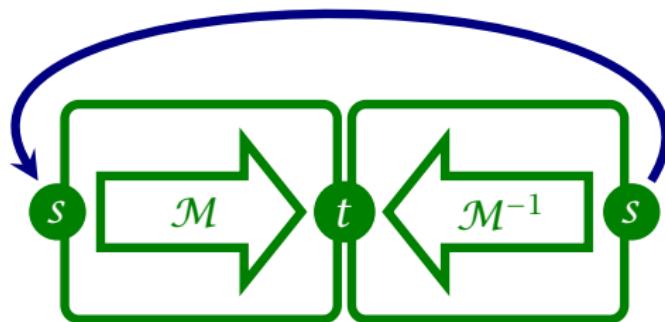
**Theorem** To find if a given **complete reversible Turing machine** admits a **periodic orbit** is  $\Sigma_1$ -complete.

In the partial case we use the following tool:

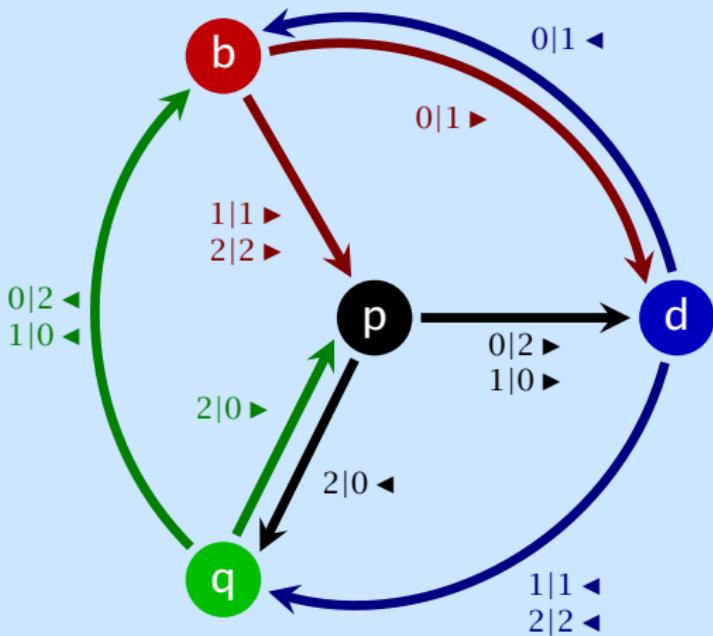
**Prop[KO08]** To find if a given **(aperiodic) RTM** can reach a given state  $t$  from a given state  $s$  is  $\Sigma_1$ -complete.

# The partial case

**Principle of the reduction** Associate to an (aperiodic) RTM  $\mathcal{M}$  with given  $s$  and  $t$  a new machine with a periodic orbit if and only if  $t$  is reachable from  $s$ .



We need to find a way to **complete** the constructed machine.



### 3. a SMART machine

# The SMART machine $\mathfrak{C}$

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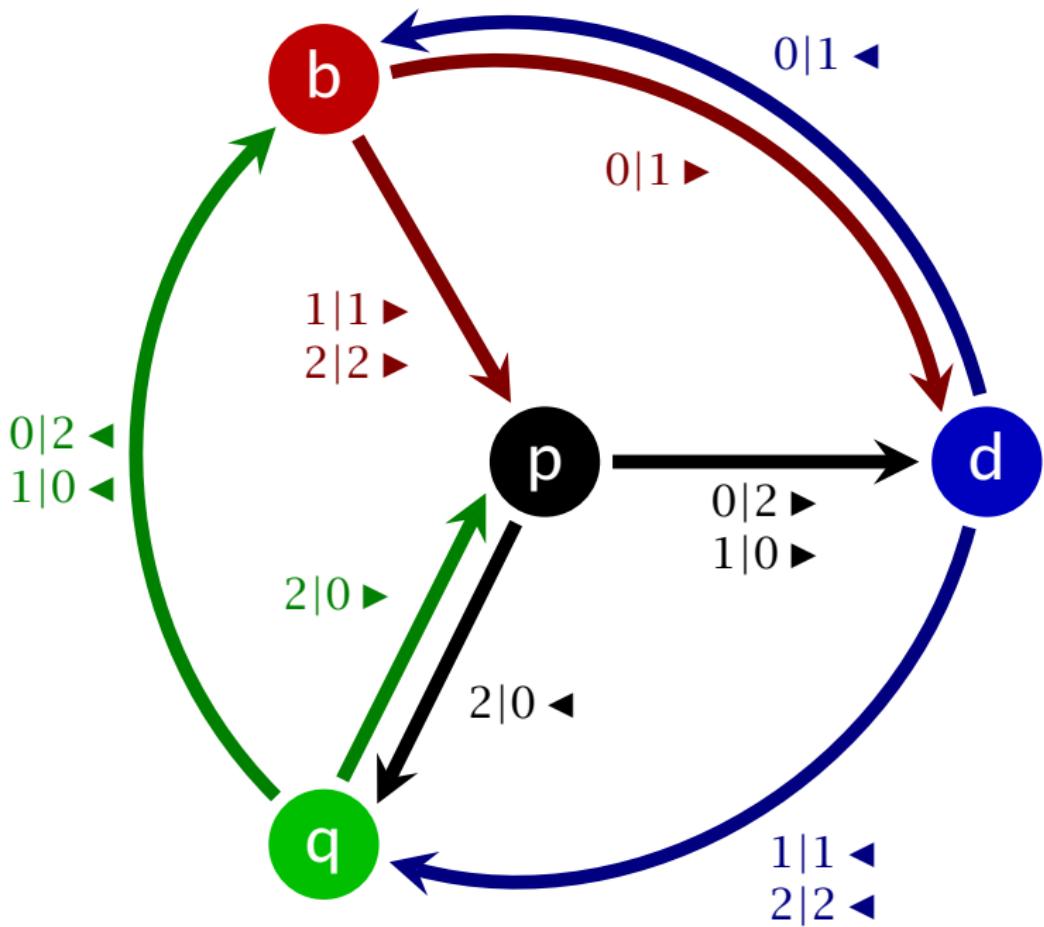
**Conj[Kürka97]** Every **complete** TM has a **periodic** point.

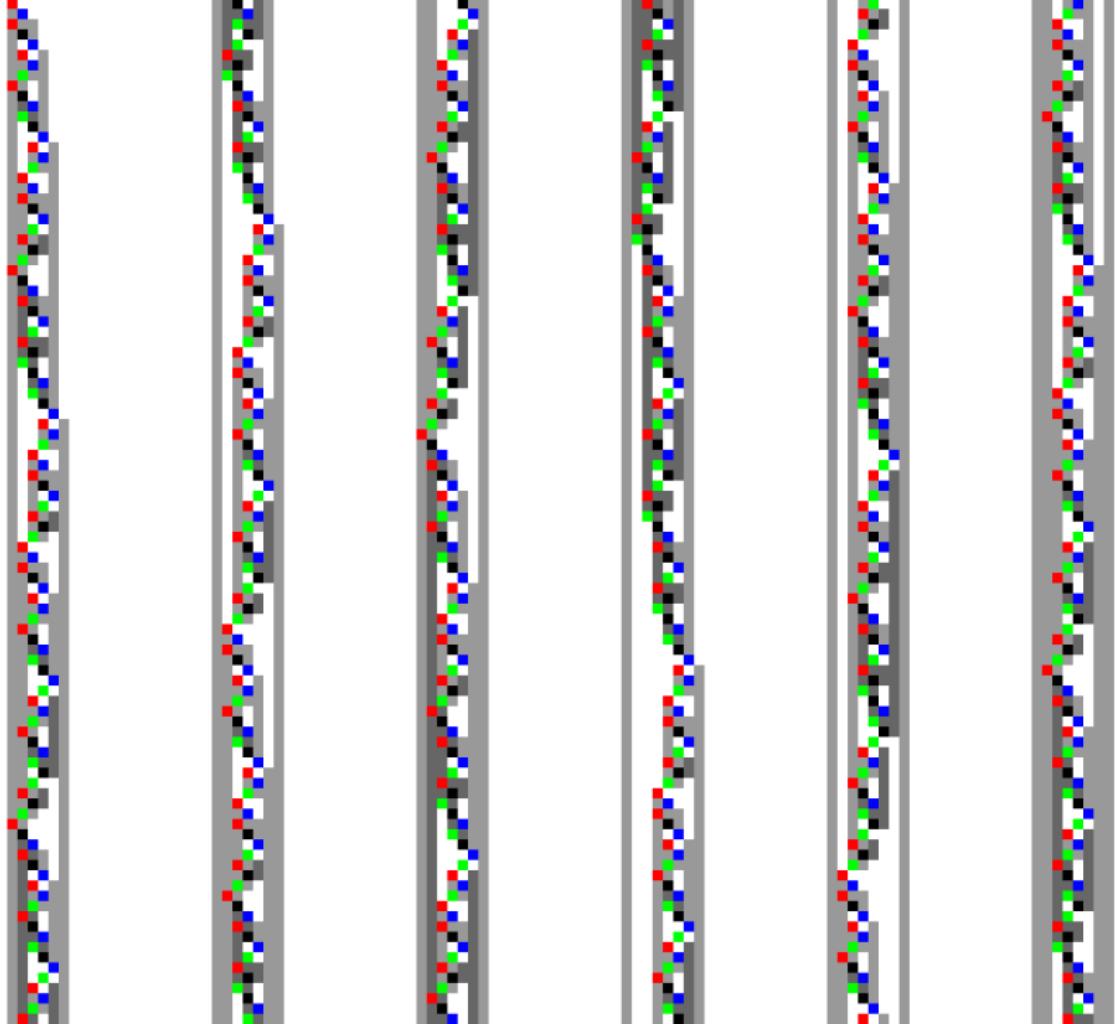
**Thm[BCN02]** No, here is an **aperiodic** complete TM.

**Rk** It relies on the **bounded search** technique [Hooper66].

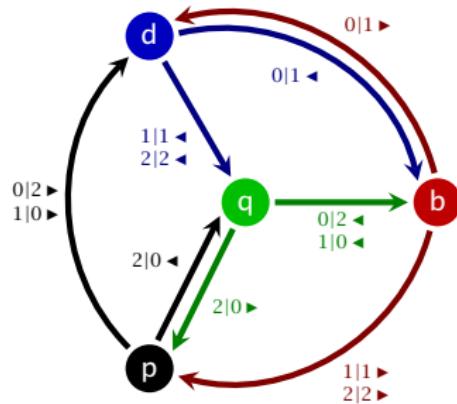
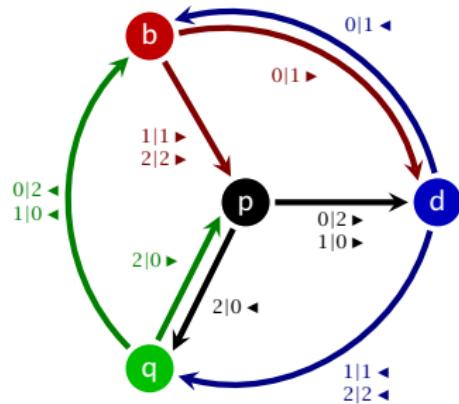
In 2008, I asked **J. Cassaigne** if he had a reversible version of the BCN construction...

... he answered with a small machine  $\mathfrak{C}$  which is a reversible and (drastic) simplification of the BCN machine.



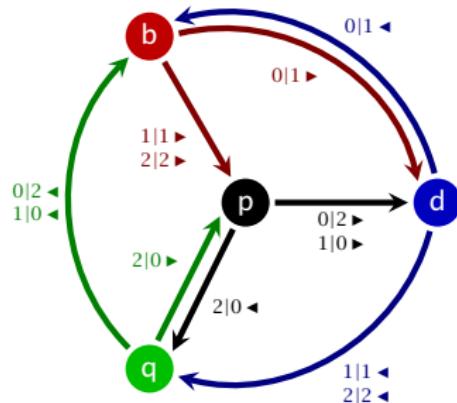


# Symmetry



It is both **space-** and **time-symmetric**.

$$\begin{array}{c} \leftarrow \quad \Leftrightarrow \quad \rightarrow \\ b \quad \Leftrightarrow \quad d \\ p \quad \Leftrightarrow \quad q \end{array}$$



# Aperiodicity

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**Proposition** The machine  $\mathfrak{C}$  is **aperiodic**.

## Idea of the proof

1. The behavior starting from a tape of 0 is aperiodic;
2. Every block of 0 eventually grows;
3. Thus  $\mathfrak{C}$  is aperiodic.

# Minimality

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The behavior of  $\mathfrak{C}$  can be precisely described.

**Proposition** The behavior starting from a tape of 0 is **dense**.

**Proposition** The trace subshift of  $\mathfrak{C}$  is **minimal**.

**Proposition** The trace subshift of  $\mathfrak{C}$  is **substitutive**.

# Substitutive trace subshift

---

$$\varphi \begin{pmatrix} 0 \\ \textcolor{red}{b} \end{pmatrix} = \begin{matrix} 0 & 0 & 1 & 1 \\ \textcolor{red}{b} & \textcolor{blue}{d} & \textcolor{red}{b} & \textcolor{purple}{p} \end{matrix}$$

$$\varphi \begin{pmatrix} x \\ \textcolor{red}{b} \end{pmatrix} = \begin{matrix} x \\ \textcolor{red}{b} \end{matrix}$$

$$\varphi \begin{pmatrix} 0 \\ \textcolor{violet}{p} \end{pmatrix} = \begin{matrix} 0 & 0 & 2 & 1 \\ \textcolor{violet}{p} & \textcolor{blue}{d} & \textcolor{red}{b} & \textcolor{purple}{p} \end{matrix}$$

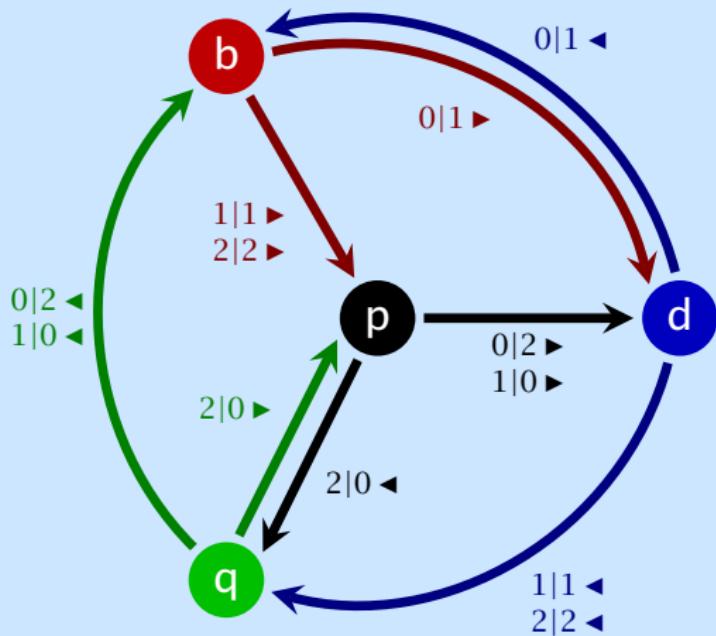
$$\varphi \begin{pmatrix} x \\ \textcolor{violet}{p} \end{pmatrix} = \begin{matrix} 0 & x & 2 & x \\ \textcolor{violet}{p} & \textcolor{blue}{d} & \textcolor{green}{q} & \textcolor{purple}{p} \end{matrix}$$

$$\varphi \begin{pmatrix} 0 \\ \textcolor{blue}{d} \end{pmatrix} = \begin{matrix} 0 & 0 & 1 & 1 \\ \textcolor{blue}{d} & \textcolor{red}{b} & \textcolor{blue}{d} & \textcolor{green}{q} \end{matrix}$$

$$\varphi \begin{pmatrix} x \\ \textcolor{blue}{d} \end{pmatrix} = \begin{matrix} x \\ \textcolor{blue}{d} \end{matrix}$$

$$\varphi \begin{pmatrix} 0 \\ \textcolor{green}{q} \end{pmatrix} = \begin{matrix} 0 & 0 & 2 & 1 \\ \textcolor{green}{q} & \textcolor{red}{b} & \textcolor{blue}{d} & \textcolor{green}{q} \end{matrix}$$

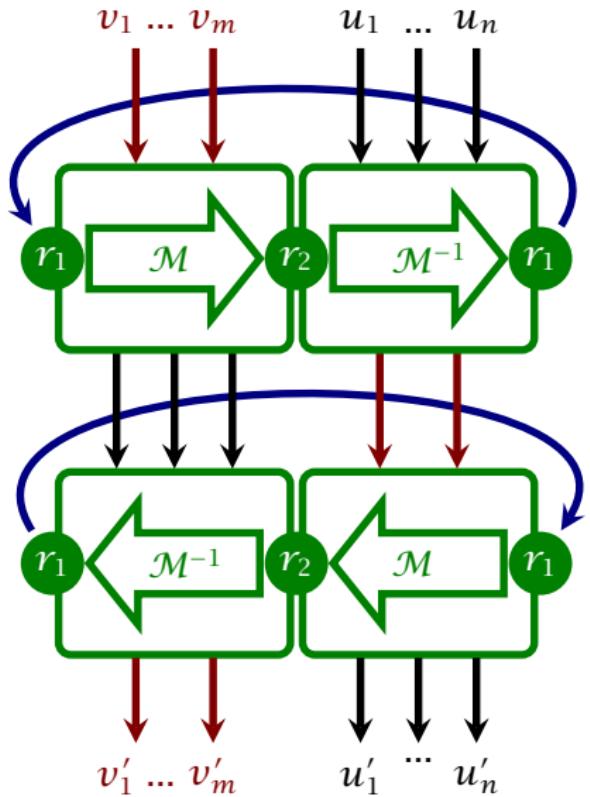
$$\varphi \begin{pmatrix} x \\ \textcolor{green}{q} \end{pmatrix} = \begin{matrix} 0 & x & 2 & x \\ \textcolor{green}{q} & \textcolor{red}{b} & \textcolor{purple}{p} & \textcolor{green}{q} \end{matrix}$$

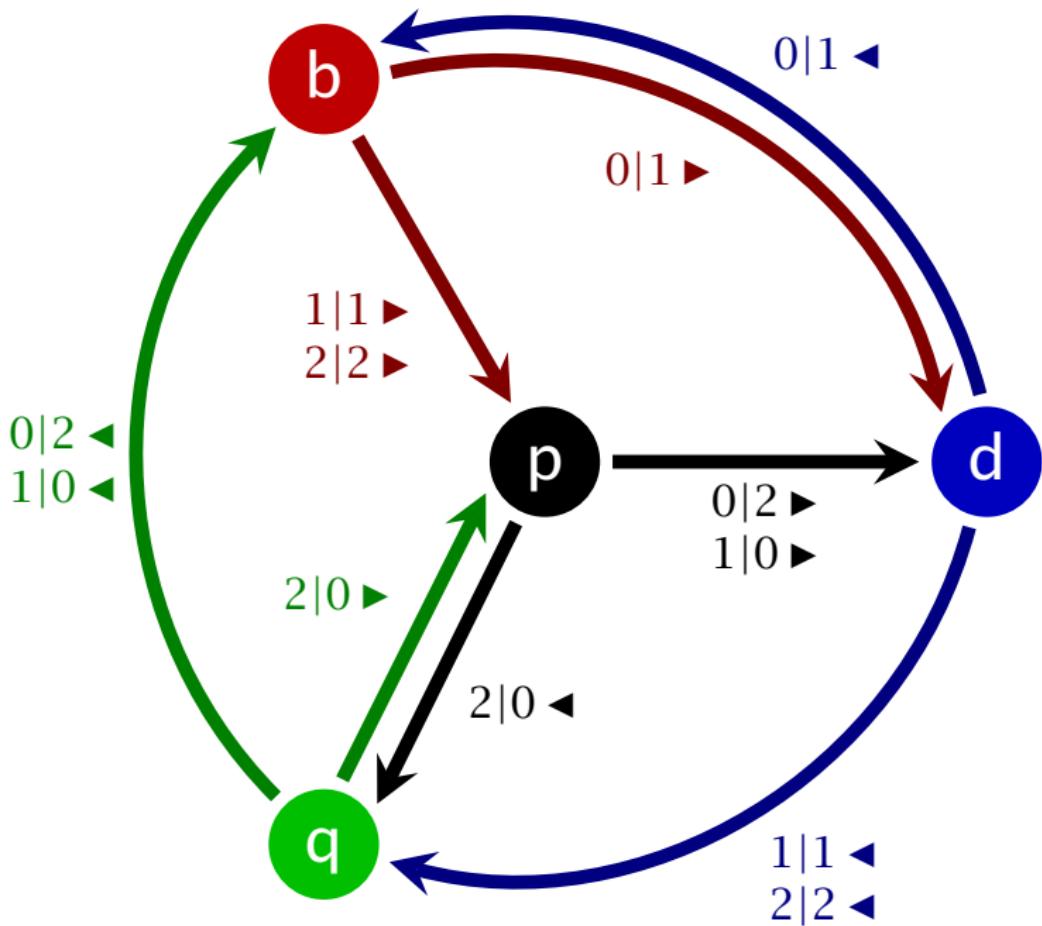


## 4. Embedding the machine

# Embedding trick

Use the transitions of the **Cassaigne machine** to connect the  $u'_i$  to the  $u_i$  and the  $v'_i$  to the  $v_i$ .





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